



**Multimodeling with Pedotransfer Functions.**  
**Documentation and User Manual for PTF Calculator**  
**(CalcPTF)**  
**Version 3.0**

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## **Abstract**

Simulations of soil water flow are often carried out with parameters estimated using pedotransfer functions (PTFs), which are empirical relationships between the soil hydraulic properties and more easily obtainable basic soil properties available, for example, from soil surveys. The use of pedotransfer functions is necessary when the simulations have to be done for large-scale projects, or for pilot studies. Results of PTF applications are always uncertain, because the accuracy of pedotransfer functions outside of its development dataset is unknown. The use of several PTFs (multimodel ensemble prediction techniques) has been shown to be an efficient approach for estimating hydraulic properties within an uncertainty context. The computer program PTF calculator (CalcPTF) has been developed to estimate parameters of the Brooks and Corey and van Genuchten water retention equation to support the multimodeling approach. Seven PTFs estimate the Brooks and Corey parameters, four PTFs estimate the van Genuchten parameters, and five models fit the van Genuchten equation to pairs (capillary pressure, water content) estimated with PTFs. The PTF calculator also estimates the net capillary drive parameter of the three-parameter Parlange infiltration equation. The code is written in FORTRAN and is invoked from an Excel worksheet. Examples of input and output files are given.

## **Disclaimer**

Although the code has been tested by its developers, no warranty, expressed or implied, is made as to the accuracy and functioning of the program modifications and related program material, nor shall the fact of distribution constitute any such warranty, and no responsibility is assumed by the developers in connection therewith.

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### List of symbols

|                  |  |
|------------------|--|
| $\alpha$         | parameter of the van Genuchten equation corresponding approximately to the inverse of the air-entry value, ( $\text{cm}^{-1}$ ); |
| $\theta$         | the volumetric soil water content ( $\text{cm}^3 \text{cm}^{-3}$ );  |
| $\theta_i$       | the initial soil water content, ( $\text{cm}^3 \text{cm}^{-3}$ );  |
| $\theta_s$       | the saturated soil water content, ( $\text{cm}^3 \text{cm}^{-3}$ );  |
| $\theta_r$       | the residual soil water content, ( $\text{cm}^3 \text{cm}^{-3}$ );   |
| $\theta_{330}$   | the soil water content at capillary pressure of 330 cm, ( $\text{cm}^3 \text{cm}^{-3}$ );  |
| $\theta_{15000}$ | the soil water content at capillary pressure of 15000 cm, ( $\text{cm}^3 \text{cm}^{-3}$ );                                      |
| $\lambda$        | the pore size distribution index, (dimensionless);   |
| $\rho_b$         | the soil bulk density, ( $\text{g cm}^{-3}$ );   |
| $\sigma_g$       | the geometric standard deviation, (cm);  |
| $\phi$           | the soil porosity, ( $\text{cm}^3 \text{cm}^{-3}$ );   |
| $a, b, c, d, e$  | empirical parameters;  |
| <i>clay</i>      | the clay content in soil, (%);   |
| $d_s$            | the geometric mean diameter, (cm);   |
| $f_c$            | the infiltrability, ( $\text{cm day}^{-1}$ );  |
| $G$              | the net capillary drive, (cm);   |
| $h$              | the capillary pressure, (cm);  |
| $h_b$            | the air-entry pressure, (cm);  |
| $h_w$            | the surface water depth, (cm);   |
| $I$              | the infiltrated depth, (cm);   |
| $K_s$            | the effective saturated hydraulic conductivity, ( $\text{cm day}^{-1}$ );  |
| $m, n$           | the empirical shape-defining parameters in the van Genuchten equation, (dimensionless);  |
| OC               | the organic carbon content, (%);   |
| OM               | the organic matter content, (%);   |
| <i>sand</i>      | the sand content in soil, (%);   |
| <i>silt</i>      | the silt content in soil, (%);   |
| $w$              | the gravimetric soil water content, ( $\text{g g}^{-1}$ ).   |

## 1. SOIL WATER RETENTION MODELS

Soil water retention parameters are required in many applications predicting water, chemical and microbial transport in the vadose zone. In spite of major advances in the development of measurement techniques, direct determination of water retention is still expensive, time consuming, and, especially for larger scale applications, impractical. Therefore, PTFs have been developed that relate the soil hydraulic functions to more easily measurable soil properties (Pachepsky and Rawls, 2004; Vereecken et al., 2010). Two four-parameters water retention models are used commonly in the transport models: Brooks-Corey and van Genuchten equation.

The Brooks-Corey (Brooks and Corey, 1964) model establishes a power relationship between soil volumetric water content  $\theta$  and capillary pressure  $h$  in form:

$$\frac{\theta - \theta_r}{\phi - \theta_r} = \begin{cases} \left(\frac{h_b}{h}\right)^\lambda, & h > h_b \\ 1, & h \leq h_b \end{cases} \quad [1]$$

where  $\phi$  is the soil porosity,  $\text{cm}^3 \text{cm}^{-3}$ ;  $\theta_r$  is the residual water content,  $\text{cm}^3 \text{cm}^{-3}$ ;  $h_b$  is air-entry pressure, cm; and  $\lambda$  is pore size distribution index.

The van Genuchten water retention equation (van Genuchten, 1980) is:

$$\frac{\theta - \theta_r}{\theta_s - \theta_r} = \frac{1}{\left[1 + (\alpha h)^n\right]^m} \quad [2]$$

where  $\theta_s$  is the saturated water content,  $\text{cm}^3 \text{cm}^{-3}$ ;  $\alpha$  is a parameter corresponding approximately to the inverse of the air-entry value,  $\text{cm}^{-1}$ ;  $m$  and  $n$  are empirical shape-defining parameters.

Parameter  $m$  in the van Genuchten model is often computed as:  $m=1-1/n$ .

There are two general methods to derive the water retention parameters. The Brooks-Corey and van Genuchten parameters can be obtained by fitting equations [1] and [2] to pairs  $(h, \theta)$ . They also can be estimated using basic soil properties such as soil texture, bulk density, organic carbon content, etc.

## 2. PEDOTRANSFER FUNCTIONS TO ESTIMATE SOIL WATER RETENTION

Measurements to obtain the water retention data and to derive parameters of models [1] or [2] are notoriously resource-demanding. Therefore, simulations of soil water flow are often

carried out with parameters estimated using pedotransfer functions (PTFs), which are empirical relationships between the soil hydraulic properties and more easily obtainable basic soil properties available, for example, from soil surveys. The use of pedotransfer functions is necessary when the simulations have to be done for large-scale projects, or for pilot studies (Pachepsky and Rawls, 2004). Applying pedotransfer functions always introduces substantial uncertainty, since the accuracy of pedotransfer functions outside of its development dataset is unknown. The use of several PTFs (multi-model ensemble prediction techniques) has been shown to be an efficient approach for estimating hydraulic properties within an uncertainty context (Guber et al., 2006). The multimodeling approach combines predictions with the different PTFs to either derive a single set of hydraulic parameters or aggregate the output of model runs that were obtained for each of the individual PTFs. For example, (Guber et al., 2009) used 19 published PTFs and the HYDRUS-1D code to simulate the field soil water regime, and combined the simulation results of the 19 different individual models to obtain the best accuracy in terms of soil moisture content at several depths.

The computer program PTF calculator (CalcPTF) has been developed to estimate parameters of the Brooks and Corey (1964) and van Genuchten (1980) water retention equation in support of the multimodeling approach. Sixteen PTFs developed worldwide from large databases were included (Table 1). Seven PTFs (PTF1 - PTF7) estimate parameters of the Brooks-Corey equation [1], four PTFs (PTF8 - PTF11) estimate parameters of the van Genuchten equation [2], and nine PTFs estimate water content at selected capillary pressures. For five of nine PTFs (PTF12 – PTF16) which compute more than 2 pairs of  $(h, \theta)$  we fit Eq. [2] to the estimated water contents at selected capillary pressures to derive the van Genuchten parameters. The other four PTFs (PTF17 – PTF20) compute  $\theta$  values for capillary pressures of 330 and 15000 cm.

In the equations below, the symbols  $w$  and  $\theta$  denote gravimetric ( $\text{g g}^{-1}$ ) and volumetric ( $\text{cm}^3\text{cm}^{-3}$ ) water contents, respectively, the subscripts 330 and 15000 indicate the capillary pressures in cm, *clay* and *sand* denote percentages of textural fractions according the USDA textural classification, OM is the organic matter content (%), OC is the organic carbon content (%),  $\rho_b$  is the bulk density ( $\text{g cm}^{-3}$ ), other symbols are defined as they appear. The PTFs are listed in the order of increasing number of soil properties used as their input.

## 2.1. Equations to estimate the Brooks-Corey parameters

Saxton et al. (1986) developed the following equations to estimate the Brooks-Corey parameters (Eq.[1]) from sand and clay content. They set the value of the residual water content in Eq. [2] equal to zero and transformed the original equation to:

$$h = A\theta^B \quad [3]$$

where

$$A=100 \cdot \exp(-4.396-0.0715 \cdot \text{clay}-0.000488 \cdot \text{sand}^2-0.00004285 \cdot \text{sand}^2 \cdot \text{clay}) \quad [4]$$

$$B=-3.140-0.00222 \cdot \text{clay}^2-0.00003484 \cdot \text{sand}^2 \cdot \text{clay} \quad [5]$$

Campbell and Shiozava (1992) used sand, clay content and soil bulk density to compute the Brooks-Corey parameters. The value of the residual water content in Eq. [1] was set equal to zero to transform the Brooks-Corey model to:

$$h = h_b (\theta / \theta_s)^{-b} \quad [6]$$

The parameters in ([6]), estimated from two data sets for British soils, were found to be

$$h_{es} = -0.05d_g^{-1/2} \quad [7]$$

$$b = -20h_{es} + 0.2\sigma_g$$

where the value of  $h_{es}$  corresponds to the air entry pressure at a standard bulk density,  $\rho_b$  of 1.3 g cm<sup>-3</sup>. The proposed adjustment for bulk density is

$$h_b = h_{es} (\rho_b / 1.3)^{0.67b} \quad [8]$$

The geometric mean diameter  $d_s$  and geometric standard deviation are given by

$$d_g = \exp(-0.80 - 0.0317\text{silt} - 0.0761\text{clay}) \quad [9]$$

$$\sigma_g = \exp(0.133\text{silt} + 0.477\text{clay} - \ln^2 d_g)^{1/2}$$

Table 1. List of PTFs with input soil properties

| #  | PTF                         | Region             | Number of samples            | Model    | Sand % | Silt % | Clay % | OC % | BD g cm <sup>-3</sup> | Depth cm |
|----|-----------------------------|--------------------|------------------------------|----------|--------|--------|--------|------|-----------------------|----------|
| 1  | Saxton et al., 1986         | USA, nationwide    | 5320                         | BC       | +      |        | +      |      | +                     |          |
| 2  | Campbell and Shiosawa, 1992 | No particular      | 6 soils                      | BC       | +      |        | +      |      | +                     |          |
| 3  | Rawls and Brakensiek, 1985  | USA, nationwide    | 5320                         | BC       | +      |        | +      |      | +                     |          |
| 4  | Williams et al., 1992       | Australia          | 196                          | BC       | +      |        | +      |      | +                     |          |
| 5  | Williams et al., 1992       | Australia          | 196                          | BC       | +      |        | +      | +    | +                     |          |
| 6  | Oosterveld and Chang, 1980  | Canada, Alberta    | 298                          | BC       | +      |        | +      |      | +                     | +        |
| 7  | Mayr and Jarvice, 1999      | UK                 | 306                          | BC       | +      | +      | +      | +    | +                     |          |
| 8  | Wösten et al., 1999         | Europe             | 4030                         | VG       | +      | +      | +      |      |                       | +        |
| 9  | Varallyay et al., 1982      | Hungary            | 230                          | VG       |        |        | +      |      | +                     |          |
| 10 | Vereecken et al., 1989      | Belgium            | 182                          | VG       | +      |        | +      | +    | +                     |          |
| 11 | Wösten et al., 1999         | Europe             | 4030                         | VG       |        | +      | +      | +    | +                     | +        |
| 12 | Tomasella and Hodnett, 1998 | Brazil             | 196                          | WH -> VG |        | +      | +      | +    |                       |          |
| 13 | Rawls et al., 1982*         | USA, nationwide    | 5320                         | WH -> VG | +      | +      | +      | +    | +                     |          |
| 14 | Gupta and Larson, 1979      | Central USA        | 43 sediment and soil samples | WH -> VG | +      | +      | +      | +    | +                     |          |
| 15 | Rajkai and Varallyay, 1992  | Hungary            | 270                          | WH -> VG | +      |        | +      | +    | +                     |          |
| 16 | Rawls et al., 1983*         | USA, nationwide    | 5320                         | WH -> VG | +      | +      | +      | +    | +                     |          |
| 17 | Peterson et al., 1968       | Pennsylvania, USA  | 1267                         | WH       |        |        | +      |      |                       |          |
| 18 | Bruand et al., 1994         | Central France     | 20 Bt horizons               | WH       |        |        | +      |      |                       |          |
| 19 | Canarache, 1993             | Romania            | Unknown                      | WH       |        |        | +      |      | +                     |          |
| 20 | Hall et al., 1977           | UK, England, Wales | 261                          | WH       | +      | +      | +      |      | +                     |          |

BC is the Brooks and Corey model (Eq.[1])

VG is the van Genuchten model (Eq.[2])

WH is water content at selected capillary pressures

\* corrected for OM according to Nemes et al. (2009).

Rawls and Brakensiek (1985) developed the following equations to estimate the Brooks-Corey parameters in Eq. [1]:

$$h_b = \exp[5.340 + 0.185 \cdot \text{clay} - 2.484 \cdot \phi - 0.002 \cdot \text{clay}^2 - 0.044 \cdot \text{sand} \cdot \phi + 0.001 \cdot \text{sand}^2 \cdot \phi^2 - 0.009 \cdot \text{clay}^2 \cdot \phi^2 - 0.00001 \cdot \text{sand}^2 \cdot \text{clay} + 0.009 \cdot \text{clay}^2 \cdot \text{sand} - 0.0007 \cdot \text{sand}^2 \cdot \phi - 0.000005 \cdot \text{clay}^2 \cdot \text{sand} - 0.500 \cdot \phi^2 \cdot \text{clay}] \quad [10]$$

$$\lambda = \exp[-0.784 + 0.018 \cdot \text{sand} - 1.062 \cdot \phi - 0.00005 \cdot \text{sand}^2 - 0.003 \cdot \text{clay}^2 + 1.111 \cdot \phi^2 - 0.031 \cdot \text{sand} \cdot \phi + 0.0003 \cdot \text{sand}^2 \cdot \phi^2 - 0.006 \cdot \text{clay}^2 \cdot \phi^2 - 0.000002 \cdot \text{sand}^2 \cdot \text{clay} + 0.008 \cdot \text{clay}^2 \cdot \phi - 0.007 \cdot \phi^2 \cdot \text{clay}] \quad [11]$$

$$\theta_r = -0.018 + 0.0009 \cdot \text{sand} + 0.005 \cdot \text{clay} + 0.029 \cdot \phi - 0.0002 \cdot \text{clay}^2 - 0.001 \cdot \text{sand} \cdot \phi - 0.0002 \cdot \text{clay}^2 \cdot \phi^2 + 0.0003 \cdot \text{clay}^2 \cdot \phi - 0.002 \cdot \phi^2 \cdot \text{clay} \quad [12]$$

Williams et al. (1992) transformed Eq. [1] with  $\theta_t=0$  to the logarithmic form:

$$\ln \theta = A + B \ln h \quad [13]$$

and applied it to an Australian database.

Different pedotransfer equations were developed in their work for different types of available data of basic properties. The equations

$$A = 1.839 + 0.257 \cdot \ln(\text{clay}) + 0.381 \cdot 2.0 - 0.0001 \cdot \text{sand}^2 \quad [14]$$

$$B = -0.303 + 0.093 \cdot \ln(\rho_b) + 0.0565 \cdot \ln(\text{clay}) - 0.00003 \cdot \text{sand}^2 \quad [15]$$

were suggested when no information about the organic matter content was available, and the equations:

$$A = 2.57 + 0.238 \cdot \ln(\text{clay}) - 0.000192 \cdot \text{sand}^2 - 0.0137 \cdot \text{sand} - 0.0926 \cdot \ln(\text{OM}) + 0.0412 \cdot \text{OM} \quad [16]$$

$$B = -0.403 + 0.0871 \cdot \ln(\text{clay}) - 0.00077 \cdot \text{sand} \quad [17]$$

for cases when data on the organic matter are available.

Oosterveld and Chang (1980) used a Canadian database and transformed Eq. [1] with  $\theta_t=0$  to the form:

$$\theta = 0.01 \cdot \rho_b \cdot (35.367 + 0.644 \cdot \text{clay} - 0.251 \cdot \text{sand} - 0.045 \cdot D) \cdot h^{-0.190} \quad [18]$$

where D is the mean depth of the sample in centimeters.

Mayr and Jarvis (1999) set  $\theta_i=0$  and porosity  $\phi$  equal to the saturated water  $\theta_s$  in the Brooks-Corey equation, and combined this equation for the dry range of soil water retention curve

$$\theta = \theta_s (h/a)^{-1/b} \quad \theta < \theta_i \quad [19]$$

with a parabolic equation for the wet range:

$$\theta = \theta_s - \frac{\theta_s h^2 (1 - \theta_i / \theta_s)}{a^2 (\theta_i / \theta_s)^{-2b}} \quad \theta \geq \theta_i \quad [20]$$

The water content  $\theta_i$  and the equivalent capillary pressure  $h_i$  at the matching point are given by:

$$\theta_i = \frac{2b\theta_s}{1+2b} \quad [21]$$

and

$$h_i = a \left( \frac{2b}{1+2b} \right)^{-b} \quad [22]$$

Pedotransfer functions developed by Mayr and Jarvis (1999) from a Scandinavian dataset were:

$$\begin{aligned} \log(a) = & -4.9840297533 + 0.0509226283 \cdot \text{sand} + 0.1575152771 \cdot \text{silt} + 0.1240901644 \cdot \rho_b \\ & - 0.1640033143 \cdot \text{OC} - 0.0021767278 \cdot \text{silt}^2 + 0.0000143822 \cdot \text{silt}^3 + 0.0008040715 \cdot \text{clay}^2 \\ & + 0.0044067117 \cdot \text{OC}^2 \end{aligned} \quad [23]$$

$$\begin{aligned} \log(1/b) = & -0.8466880654 - 0.0046806123 \cdot \text{sand} + 0.0092463819 \cdot \text{silt} - 0.4542769707 \cdot \rho_b \\ & - 0.0497915563 \cdot \text{OC} + 0.0003294687 \cdot \text{sand}^2 \\ & + 0.000001689056 \cdot \text{sand}^3 + 0.0011225373 \cdot \text{OC}^2 \end{aligned} \quad [24]$$

$$\begin{aligned} \theta_s = & 0.2345971971 + 0.0046614221 \cdot \text{sand} + 0.0088163314 \cdot \text{silt} + 0.0064338641 \cdot \text{clay} - \\ & 0.3028160229 \cdot \rho_b + 0.179762 \cdot 10^{-4} \cdot \text{sand}^2 - 0.3134631 \cdot 10^{-4} \cdot \text{silt}^2 \end{aligned} \quad [25]$$

## 2.2. Equations to estimate the van Genuchten parameters

Wösten et al. (1999) analyzed the all-Europe database HYPRES and derived the class pedotransfer functions. These PTFs represent the van Genuchten parameters obtained by fitting the equation [2] to geometric mean water contents for each of 5 textural groups (Table 2).

Table 2. van Genuchten parameters for the fits on the geometric mean curves

| Soil texture | Definition                                    | $\theta_r$ | $\theta_s$ | $\alpha$ | n      | m      |
|--------------|---|------------|------------|----------|--------|--------|
| Topsoils     |   |            |            |          |        |        |
| Coarse       | <i>clay</i> <18% and <i>sand</i> >65%         | 0.025      | 0.403      | 0.0383   | 1.3774 | 0.2740 |
| Medium       | 18%< <i>clay</i> <35% and 15%< <i>sand</i> or |            |            |          |        |        |
| Medium fine  | <i>clay</i> <18% and 15%< <i>sand</i> <65%    | 0.010      | 0.439      | 0.0314   | 1.1804 | 0.1528 |
| Fine         | <i>clay</i> <35% and <i>sand</i> <15%         | 0.010      | 0.430      | 0.0083   | 1.2539 | 0.2025 |
| Very Fine    | 35%< <i>clay</i> <60%                         | 0.010      | 0.520      | 0.0367   | 1.1012 | 0.0919 |
|              | 60%< <i>clay</i>                              | 0.010      | 0.614      | 0.0265   | 1.1033 | 0.0936 |
| Subsoils     |   |            |            |          |        |        |
| Coarse       | <i>clay</i> <18% and <i>sand</i> >65%         | 0.025      | 0.366      | 0.0430   | 1.5206 | 0.3424 |
| Medium       | 18%< <i>clay</i> <35% and 15%< <i>sand</i> or |            |            |          |        |        |
| Medium fine  | <i>clay</i> <18% and 15%< <i>sand</i> <65%    | 0.010      | 0.392      | 0.0249   | 1.1689 | 0.1445 |
| Fine         | <i>clay</i> <35% and <i>sand</i> <15%         | 0.010      | 0.412      | 0.0082   | 1.2179 | 0.1789 |
| Very Fine    | 35%< <i>clay</i> <60%                         | 0.010      | 0.481      | 0.0198   | 1.0861 | 0.0793 |
|              | 60%< <i>clay</i>                              | 0.010      | 0.538      | 0.0168   | 1.0730 | 0.0680 |

Same authors (Wösten et al. 1999) derived the regression equations to estimate the van Genuchten parameters from soil texture, organic carbon content and soil bulk density:

$$\theta_s = 0.7919 + 0.001691 \cdot \text{clay} - 0.29619 \cdot \rho_b - 0.000001491 \cdot \text{silt}^2 + 0.0000821 \cdot \text{OM}^2 + 0.02427/\text{clay} + 0.01113/\text{silt} + 0.01472 \cdot \ln(\text{silt}) - 0.0000733 \cdot \text{OM} \cdot \text{clay} - 0.000619 \cdot \rho_b \cdot \text{clay} - 0.001183 \cdot \rho_b \cdot \text{OM} - 0.0001664 \cdot \text{topsoil} \cdot \text{silt} \quad [27]$$

$$\alpha = \exp[-14.96 + 0.03135 \cdot \text{clay} + 0.0351 \cdot \text{silt} + 0.646 \cdot \text{OM} + 15.29 \cdot \rho_b - 0.192 \cdot \text{topsoil} - 4.671 \cdot \rho_b^2 - 0.000781 \cdot \text{clay}^2 - 0.00687 \cdot \text{OM}^2 + 0.0449/\text{OM} + 0.0663 \cdot \ln(\text{silt}) + 0.1482 \cdot \ln(\text{OM}) - 0.04546 \cdot \rho_b \cdot \text{silt} - 0.4852 \cdot \rho_b \cdot \text{OM} + 0.00673 \cdot \text{topsoil} \cdot \text{clay}] \quad [28]$$

$$n = 1. + \exp[-25.23 - 0.02195 \cdot \text{clay} + 0.0074 \cdot \text{silt} - 0.1940 \cdot \text{OM} + 45.5 \cdot \rho_b - 7.24 \cdot \rho_b^2 + 0.0003658 \cdot \text{clay}^2 + 0.002885 \cdot \text{OM}^2 - 12.81/\rho_b - 0.1524/\text{silt} - 0.01958/\text{OM} - 0.2876 \cdot \ln(\text{silt}) - 0.0709 \cdot \ln(\text{OM}) - 44.6 \cdot \ln(\rho_b) - 0.02264 \cdot \rho_b \cdot \text{clay} + 0.0896 \cdot \rho_b \cdot \text{OM} + 0.00718 \cdot \text{topsoil} \cdot \text{clay}] \quad [29]$$

where topsoil is an ordinal variable having the value of 1 or of 0. Parameter  $m$  in Eq. [2] was computed as  $1-1/n$ .

Varallyay et al. (1982) applied the van Genuchten equation with  $m=1$  and  $\theta_r = 0$  to the Hungarian national database and found the following regression equations for A-horizons:

$$\theta_s = 0.01(-56.4 \cdot \rho_b + 0.00205 \cdot \text{clay}^2 + 123.79) \quad [30]$$

$$n = 0.336 \cdot \rho_b - 0.053 \quad [31]$$

$$\alpha = 10^{0.417 - 0.0427 \cdot \rho_b \cdot \text{clay} - 1.51 \cdot \rho_b} \quad [32]$$

Vereecken et al. (1989) used a Belgian dataset to develop the following pedotransfer functions for the van Genuchten equation with  $m=1$ :

$$\theta_s = 0.81 - 0.283 \cdot \rho_b + 0.001 \cdot \text{clay} \quad [33]$$

$$\theta_r = 0.015 + 0.005 \cdot \text{clay} + 0.014 \cdot \text{OC} \quad [34]$$

$$\alpha = \exp(-2.486 + 0.025 \cdot \text{sand} - 0.351 \cdot \text{clay} - 2.617 \cdot \rho_b - 0.023 \cdot \text{clay}) \quad [35]$$

$$n = \exp(0.053 - 0.009 \cdot \text{sand} - 0.013 \cdot \text{clay} + 0.00015 \cdot \text{sand}^2) \quad [36]$$

### 2.3. Equations to estimate the soil water content at selected capillary pressures

The following five PTFs were developed to estimate water contents at several selected capillary pressures that allowed fitting the van Genuchten equation [2] to pairs  $(h, \theta)$ . The fitting code (VGpar.for) is based on the optimization procedure from the CFITM program (van Genuchten, 1980) developed to determine transport parameters from solute displacement experiments.

Tomasella and Hodnett (1998) studied Brazilian soils and derived regression parameters for water contents  $\theta$  at nine capillary pressures  $h$ :

$$\theta = 0.01 \cdot (a \cdot \text{OC} + b \cdot \text{silt} + c \cdot \text{clay} + d) \quad [37]$$

Table 3. Coefficients of multiple linear regressions in Eq.[37]

| $h, \text{ cm}$ | $a$  | $b$   | $c$   | $d$    |
|-----------------|------|-------|-------|--------|
| 0               | 2.24 | 0.298 | 0.159 | 37.937 |
| 10              | 0    | 0.53  | 0.255 | 23.839 |
| 30              | 0    | 0.552 | 0.262 | 18.495 |
| 60              | 0    | 0.576 | 0.3   | 12.333 |
| 100             | 0    | 0.543 | 0.321 | 9.806  |
| 330             | 0    | 0.426 | 0.404 | 4.046  |
| 1000            | 0    | 0.369 | 0.351 | 3.198  |
| 5000            | 0    | 0.258 | 0.361 | 1.567  |
| 15000           | 0    | 0.15  | 0.396 | 0.91   |

Rawls et al. (1982) used the US Cooperative Soil Survey Database to develop 12 regression equations to relate the soil water contents at 12 capillary pressures to sand, clay and organic matter contents (Table 4). A similar set of equations was later developed to use knowledge of the bulk density along with the sand, clay and organic matter contents (Rawls et al., 1983; Table 5).

Table 4. Coefficients of the linear regression equation to predict volumetric soil water content at specific capillary pressure:  $\theta = a + b \cdot \text{sand} + c \cdot \text{silt} + d \cdot \text{clay} + e \cdot \text{OC}$  (after Rawls et al., 1982)

| <i>h, cm</i> | <i>a</i> | <i>b</i> | <i>c</i> | <i>d</i> | <i>e</i> |
|--------------|----------|----------|----------|----------|----------|
| 100          | 0.4118   | -0.0030  | 0        | 0.0023   | 0.0317   |
| 200          | 0.3121   | -0.0024  | 0        | 0.0032   | 0.0314   |
| 330          | 0.2576   | -0.0020  | 0        | 0.0036   | 0.0299   |
| 600          | 0.2065   | -0.0016  | 0        | 0.0040   | 0.0275   |
| 1000         | 0.0349   | 0        | 0.0014   | 0.0055   | 0.0251   |
| 2000         | 0.0281   | 0        | 0.0011   | 0.0054   | 0.0200   |
| 4000         | 0.0238   | 0        | 0.0008   | 0.0052   | 0.0190   |
| 7000         | 0.0216   | 0        | 0.0006   | 0.0050   | 0.0167   |
| 10000        | 0.0205   | 0        | 0.0005   | 0.0049   | 0.0154   |
| 15000        | 0.0260   | 0        | 0        | 0.0050   | 0.0158   |

Table 5. Coefficients of the linear regression equation to predict volumetric soil water content at specific capillary pressure:  $\theta = a + b \cdot \text{sand} + c \cdot \text{clay} + d \cdot \text{OC} + e \cdot \rho_b$  (after Rawls et al., 1983)

| <i>h, cm</i> | <i>a</i> | <i>b</i> | <i>c</i> | <i>d</i> | <i>e</i> |
|--------------|----------|----------|----------|----------|----------|
| 200          | 0.4180   | -0.0021  | 0.0035   | 0.0232   | -0.0859  |
| 330          | 0.3486   | -0.0018  | 0.0039   | 0.0228   | -0.0738  |
| 600          | 0.2819   | -0.0014  | 0.0042   | 0.0216   | -0.0612  |
| 1000         | 0.2352   | -0.0012  | 0.0043   | 0.0202   | -0.0517  |
| 2000         | 0.1837   | -0.0009  | 0.0044   | 0.0181   | -0.0407  |
| 4000         | 0.1426   | -0.0007  | 0.0045   | 0.0160   | -0.0315  |
| 7000         | 0.1155   | -0.0005  | 0.0045   | 0.0143   | -0.0253  |
| 10000        | 0.1005   | -0.0004  | 0.0045   | 0.0133   | -0.0218  |
| 15000        | 0.0854   | -0.0004  | 0.0044   | 0.0122   | -0.0182  |

Gupta and Larson (1979) used a subset of the US National Cooperative Survey database to derive predictive equations for the volumetric water content at twelve capillary pressures:

$$\theta = a \cdot \text{sand} + b \cdot \text{silt} + c \cdot \text{clay} + d \cdot \text{OC} + e \cdot \rho_b \quad [38]$$

Table 6. Coefficients of the linear regression equation [40] to predict volumetric soil water content at specific capillary pressure

| <i>h, cm</i> | <i>a</i> | <i>b</i> | <i>c</i> | <i>d</i> | <i>e</i> |
|--------------|----------|----------|----------|----------|----------|
| 40           | 7.053    | 10.242   | 10.07    | 6.333    | -321.2   |
| 70           | 5.678    | 9.228    | 9.135    | 6.103    | -269.6   |
| 100          | 5.018    | 8.548    | 8.833    | 4.966    | -242.3   |
| 200          | 3.89     | 7.066    | 8.408    | 2.817    | -187.8   |
| 330          | 3.075    | 5.886    | 8.039    | 2.208    | -143.4   |
| 600          | 2.181    | 4.557    | 7.557    | 2.191    | -92.76   |
| 100          | 1.563    | 3.62     | 7.154    | 2.388    | -57.59   |
| 200          | 0.932    | 2.643    | 6.636    | 2.717    | -22.14   |
| 400          | 0.483    | 1.943    | 6.128    | 2.925    | -2.04    |
| 700          | 0.214    | 1.538    | 5.908    | 2.855    | 15.3     |
| 1000         | 0.076    | 1.334    | 5.802    | 2.653    | 21.45    |
| 15000        | -0.059   | 1.142    | 5.766    | 2.228    | 26.71    |

Rajkai and Varallyay (1992) analyzed the Hungarian national database to obtain a nonlinear regression for ten specific capillary pressures:

$$\theta = b_0 + b_1X_1 + b_2X_2 + b_3X_1X_2 + b_4X_1^2 + b_5X_2^2 \quad [39]$$

We used only eight capillary pressures in the CalcPTF (Table 7).

Table 7. Coefficients and variables in the nonlinear regression equation [41] to predict volumetric soil water content at specific capillary pressure

| <i>h, cm</i> | <i>X<sub>1</sub></i> | <i>X<sub>2</sub></i> | <i>b<sub>0</sub></i> | <i>b<sub>1</sub></i> | <i>b<sub>2</sub></i> | <i>b<sub>3</sub></i> | <i>b<sub>4</sub></i> | <i>b<sub>5</sub></i> |
|--------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| 0            | $\rho_b$             | <i>silt</i>          | 89.75                | -31.39               | 0                    | 0.03                 | 0                    | 0                    |
| 3            | $\rho_b$             | <i>sand</i>          | 85.05                | -27.17               | 0                    | -0.024               | 0                    | 0                    |
| 10           | $\rho_b$             | <i>sand</i>          | 78.58                | -23.94               | 0                    | -0.025               | 0                    | 0                    |
| 32           | $\rho_b$             | <i>clay+silt</i>     | 69.78                | -21.74               | 0                    | 0                    | 0                    | 0.0011               |
| 501          | <i>clay+silt</i>     | <i>sand/silt</i>     | 20.87                | 0.29                 | -0.83                | 0.03                 | 0                    | 0.0051               |
| 2512         | <i>clay+silt</i>     | OM                   | 2.19                 | 0.52                 | 3.93                 | -0.07                | 0                    | 0                    |
| 15849        | <i>clay+silt</i>     | OM                   | 1.39                 | 0.36                 | 0                    | 0                    | 0                    | 0.22                 |
| 1258925      | <i>clay</i>          | OM                   | 0.73                 | 0                    | 0.32                 | 0                    | 0.0018               | 0                    |

The following four PTF estimate volumetric water content at capillary pressure of 330 cm and 15000 cm and are not used to compute parameters in Eq.[1] and Eq.[2].

Peterson et al. (1968) worked with the Pennsylvania soil database. Their equations are:

$$\theta_{330} = 0.01 \cdot (11.83 + 0.96 \cdot \text{clay} - 0.008 \cdot \text{clay}^2) \quad [40]$$

$$\theta_{15000} = 0.01 \cdot (1.74 + 0.76 \cdot \text{clay} - 0.005 \cdot \text{clay}^2) \quad [41]$$

Bruand et al. (1994) for soils in central France estimated the volumetric water content at the same capillary pressures as:

$$\theta_{330} = (0.043 + 0.004 \cdot \text{clay}) / (0.471 + 0.00411 \cdot \text{clay}) \quad [42]$$

$$\theta_{15000} = (0.008 + 0.00367 \cdot \text{clay}) / (0.471 + 0.00411 \cdot \text{clay}) \quad [43]$$

Canarache (1993) applied regression analysis to the Romanian national database to obtain the predictive equations:

$$\begin{aligned} \theta_{330} = & 0.01 \cdot \rho_b \cdot (2.65 + 1.105 \cdot \text{clay} - 0.01896 \cdot \text{clay}^2 + 0.0001678 \cdot \text{clay}^3 \\ & + 15.12 \cdot \rho_b - 6.745 \cdot \rho_b^2 - 0.1975 \cdot \text{clay} \cdot \rho_b) \end{aligned} \quad [44]$$

$$\theta_{15000} = 0.01 \cdot \rho_b \cdot (0.2805 \cdot \text{clay} + 0.0009615 \cdot \text{clay}^2) \quad [45]$$

Hall et al. (1977) analyzed a subset of British Soil Survey data and derived the equations

$$\theta_{330} = 0.01 \cdot (20.81 + 0.45 \cdot \text{clay} + 0.13 \cdot \text{silt} - 5.95 \cdot \rho_b) \quad [46]$$

$$\theta_{15000} = 0.01 \cdot (1.48 + 0.84 \cdot \text{clay} - 0.0055 \cdot \text{clay}^2) \quad [47]$$

### 3. Estimating the net capillary drive parameter in the Parlange infiltration equation

The PTFs listed in sections 2.1 and 2.2 can be also used to compute the net capillary drive parameter in the equation describing water infiltration into unsaturated soil (Parlange et al., 1982). The general one-layer model for infiltrability  $f_c$ , as a function of infiltrated depth  $I$ , is:

$$f_c = K_s \left[ 1 + \frac{\sigma}{\exp(\sigma I / B) - 1} \right] \quad [48]$$

where  $B$  is combining the effects of net capillary drive  $G$ , surface water depth  $h_w$ , and unit storage capacity  $\theta_s - \theta_i$ :

$$B = (G + h_w)(\theta_s - \theta_i) \quad [49]$$

the parameter  $\sigma$  represents the soil type, and  $\theta_i$  is initial soil water content.

The equation [48] is used in the KINEROS2 model describing sediment (Woolhiser et al., 1990) and bacteria (Guber et al., 2010) transport with overland water flow and requires 4 basic parameters to describe the infiltration properties of a soil: the field effective saturated hydraulic conductivity  $K_s$ , the net capillary drive  $G$ , and the saturated water content  $\theta_s$ . The value of  $\sigma$  is

set equal to 0.85 in the KINEROS2 model, while the other three parameters are estimated for 11 soil textural classes (Rawls et al., 1982).

The KINEROS2 model uses class PTFs (Pachepsky and Rawls, 2004) to estimate the net capillary drive. The CalcPTF is a continuous PTF based on the Morel-Seytoux et al. (1996) equations computing the net capillary drive from the Brooks-Corey and van Genuchten parameters. For the Brooks-Corey model (Eq.[1]) the net capillary drive  $G$  is:

$$G = h_b \frac{2 + 3\lambda}{1 + 3\lambda} \quad [50]$$

and for the van Genuchten model (Eq.[2])  $G$  is:

$$G = \frac{0.046m + 2.07m^2 + 19.5m^3}{\alpha(1 + 4.7m + 16m^2)} \quad [51]$$

## 4. PROGRAM DESCRIPTION

### 4.1. File list

A FORTRAN code has been written to implement the CalcPTF. The program includes 4 files which have to be located in the C:\PTF folder:

1. *CalcPTF.xls* is a Microsoft Office Excel file containing list of PTFs with input parameters, references to the original publications, input data and results in form of Excel datasheet and graphics.
2. *PTFG.exe* is an executable file computing and saving results of PTF estimations.
3. *PTFG.for* is a FORTRAN main program handling input and output data and performing PTF computations.
4. *VGpar.for* is a subroutine fitting the van Genuchten parameters to pairs of  $(h, \theta)$  estimated with four PTFs (PTF17 – PTF20).

The *PTFG.exe* file can be run from *PTFG.xls* file using macros *PTFG* or independently from Windows Explorer. The run from *CalcPTF.xls* is preferable, because it allows preparing input data and analyzing results of computations within a single file.

## 4.2. Input data

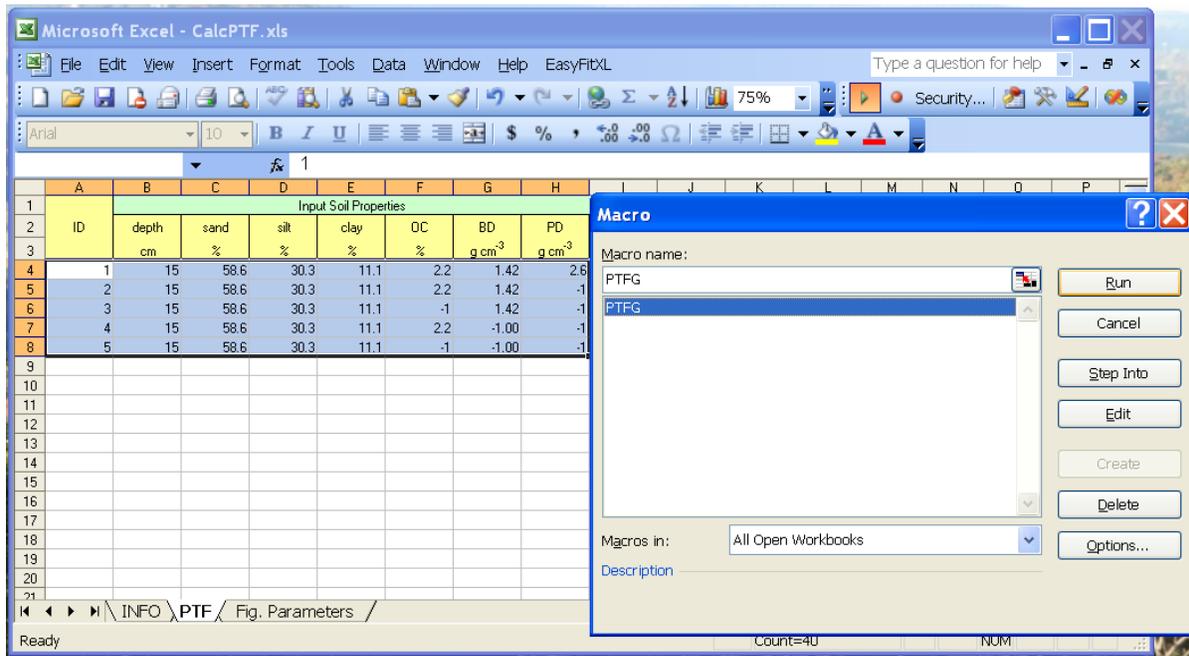
The input data for *PTFG.exe* are given in input file *ptf.in*. The input file contains soil properties used in PTFs and has to be placed into the same C:\PTF folder. The *PTFG.exe* information that needs to be supplied in *ptf.in* file is:

*Sample ID, Depth (cm), Sand (%), Silt (%), Clay (%), OC (%), BD (g cm<sup>-3</sup>), PD (g cm<sup>-3</sup>)*

Input data for each sample starts from new line and can be separated using space or comma within the line. Up to 100 lines (samples) can be processed within one *PTFG.exe* run. Any soil property can be omitted if not measured. The missed values should be entered as “-1” in *ptf.in* file. The soil particle density is set to 2.65 g cm<sup>-3</sup> by default, but can be changed if known and different. The *PTFG.exe* module uses only PTFs, which have all necessary input data (Table 1). Parameter values of “-1” are generated by the code for PTFs which input data are lacking.

The simplest way to prepare data and run *PTFG.exe* is to fill columns “A-H” of the worksheet “PTF”, to select all or some lines in the data table using the regular Excel selection pointer  and to run the *PTFG* macro. Note, that only data from selected will be saved in *ptf.in* file and used in computations.

Figure 1. Input data and Macro window to run *PTFG.exe* module



### 4.3. Output data

1. The *PTFG.exe* module creates new output files as follows. The *Temp.dat* file is a temporary file with computed parameters of the Brooks-Corey and van Genuchten water retention models. The *PTFG* macros transfers these data from *Temp.dat* to the *PTF* worksheet in columns according to the PTFs and rows corresponding to the input data selected in this worksheet data. These results can be seen graphically in *Fig.Parameters* worksheet of *CalcPTF* file.
2. The *WR.par* file is an output file containing parameters of the Brooks-Corey and van Genuchten water retention models in the form suitable for the HYDRUS family models ([www.pc-progress.com](http://www.pc-progress.com)). Specifically parameter  $h_b$  in Eq.[1] is replaced with its inverse value  $\alpha = 1/h_b$  as it used in the HYDRUS model. The PTFs and depths with no output data are skipped in the *WR.par* file.
3. *WC.out* is the output file containing water contents computed at capillary pressures of 330 and 15000 cm using PTF16 - PTF20 (Table 1).

### 4.4. Example

This example demonstrates CalcPTF computations for samples with different number of measured soil properties. Input data for four samples are stored in *PTF* worksheet of *CalcPTF.xls* (Figure 1.). We ran macros *PTFG* to save data into *ptf.in* data file, start *PTFG.exe* executable module and transfer results into *PTF* worksheet. The *PTFG* macros created file *ptf.in* with input data:

```
1 15 58.6 30.3 11.1 2.2 1.42 2.6
2 15 58.6 30.3 11.1 2.2 1.42 -1
3 15 58.6 30.3 11.1 -1 1.42 -1
4 15 58.6 30.3 11.1 2.2 -1 -1
5 15 58.6 30.3 11.1 -1 -1 -1
```

These data are processed by *PTFG.exe* executable module and results are saved in file *WR.par* and *WC.out*:

File *WR.par*:

Brooks and Corey (1964) water retention model

Saxton et al., 1986

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.00000 | 0.45385 | 0.11593 | 0.21090 |
| 2  | 15.   | 0.00000 | 0.46415 | 0.12895 | 0.21090 |
| 3  | 15.   | 0.00000 | 0.46415 | 0.12895 | 0.21090 |

Campbell and Shiosawa, 1992

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.00000 | 0.45385 | 0.04192 | 0.22767 |
| 2  | 15.   | 0.00000 | 0.46415 | 0.04192 | 0.22767 |
| 3  | 15.   | 0.00000 | 0.46415 | 0.04192 | 0.22767 |

Rawls and Brakensiek, 1985

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.06261 | 0.45385 | 0.07489 | 0.38180 |
| 2  | 15.   | 0.06219 | 0.46415 | 0.07854 | 0.37871 |
| 3  | 15.   | 0.06219 | 0.46415 | 0.07854 | 0.37871 |

Williams et al., 1992

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.00000 | 0.45385 | 0.05211 | 0.23742 |
| 2  | 15.   | 0.00000 | 0.46415 | 0.05728 | 0.23742 |
| 3  | 15.   | 0.00000 | 0.46415 | 0.05728 | 0.23742 |

Williams et al., 1992

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.00000 | 0.45385 | 0.23190 | 0.23848 |
| 2  | 15.   | 0.00000 | 0.46415 | 0.25480 | 0.23848 |

Oosterveld and Chang, 1980

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.00000 | 0.45385 | 0.18365 | 0.19000 |
| 2  | 15.   | 0.00000 | 0.46415 | 0.20669 | 0.19000 |
| 3  | 15.   | 0.00000 | 0.46415 | 0.20669 | 0.19000 |

Mayr and Jarvice, 1999

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.00000 | 0.44926 | 0.32949 | 0.45001 |
| 2  | 15.   | 0.00000 | 0.44926 | 0.32949 | 0.45001 |

van Genuchten (1980) water retention model

Wosten et al., 1999

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.01000 | 0.39200 | 0.02490 | 1.16890 |
| 2  | 15.   | 0.01000 | 0.39200 | 0.02490 | 1.16890 |
| 3  | 15.   | 0.01000 | 0.39200 | 0.02490 | 1.16890 |
| 4  | 15.   | 0.01000 | 0.39200 | 0.02490 | 1.16890 |
| 5  | 15.   | 0.01000 | 0.39200 | 0.02490 | 1.16890 |

Varallyay et al., 1982

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.00000 | 0.43865 | 0.00398 | 0.42412 |
| 2  | 15.   | 0.00000 | 0.43865 | 0.00398 | 0.42412 |

3 15. 0.00000 0.43865 0.00398 0.42412

Vereecken et al., 1989

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.10130 | 0.41924 | 0.00732 | 0.90158 |
| 2  | 15.   | 0.10130 | 0.41924 | 0.00732 | 0.90158 |

Wosten et al., 1999

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.01000 | 0.42344 | 0.04355 | 1.22138 |
| 2  | 15.   | 0.01000 | 0.42344 | 0.04355 | 1.22138 |

Tomasella and Hodnett, 1998

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.00000 | 0.53316 | 0.17054 | 1.20969 |
| 2  | 15.   | 0.00000 | 0.53316 | 0.17054 | 1.20969 |
| 4  | 15.   | 0.00000 | 0.53316 | 0.17054 | 1.20969 |

Rawls et al., 1982

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.06728 | 0.45412 | 0.02691 | 1.34012 |
| 2  | 15.   | 0.06587 | 0.46437 | 0.03000 | 1.33471 |

Gupta and Larson, 1979

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.11899 | 0.45646 | 0.02491 | 1.42908 |
| 2  | 15.   | 0.11698 | 0.46612 | 0.02810 | 1.41584 |

Rajkai and Varallyay, 1992

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.00000 | 0.44315 | 0.00842 | 1.18271 |
| 2  | 15.   | 0.00000 | 0.44315 | 0.00842 | 1.18271 |

Rawls et al., 1983

| ID | Depth | ThetaR  | ThetaS  | alpha   | n       |
|----|-------|---------|---------|---------|---------|
| 1  | 15.   | 0.05738 | 0.45387 | 0.04820 | 1.29281 |
| 2  | 15.   | 0.05703 | 0.46417 | 0.05321 | 1.29156 |

File *WC.out*:

Peterson et al., 1968

|        |       |         |
|--------|-------|---------|
| Z/P,cm | 330.0 | 15000.0 |
| 15.0   | 0.215 | 0.096   |
| 15.0   | 0.215 | 0.096   |
| 15.0   | 0.215 | 0.096   |
| 15.0   | 0.215 | 0.096   |
| 15.0   | 0.215 | 0.096   |

Bruand et al., 1994

|        |       |         |
|--------|-------|---------|
| Z/P,cm | 330.0 | 15000.0 |
| 15.0   | 0.169 | 0.094   |
| 15.0   | 0.169 | 0.094   |
| 15.0   | 0.169 | 0.094   |

15.0 0.169 0.094  
15.0 0.169 0.094

Canarache, 1993

Z/P,cm 330.0 15000.0

15.0 0.249 0.046

15.0 0.249 0.046

15.0 0.249 0.046

Hall et al., 1977

Z/P,cm 330.0 15000.0

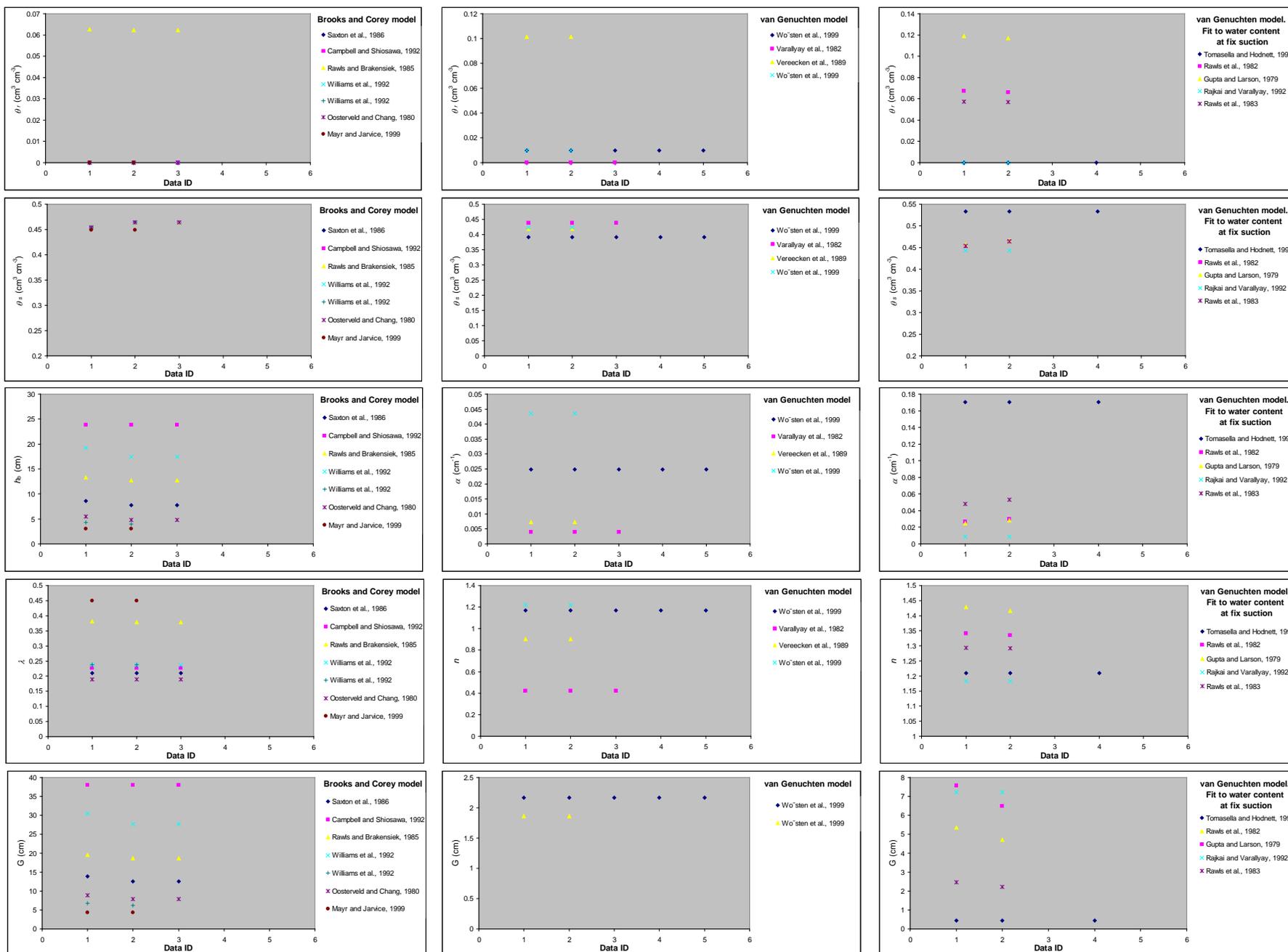
15.0 0.213 0.101

15.0 0.213 0.101

15.0 0.213 0.101

Output parameters are also saved in the worksheet PTF and plotted in the worksheet *Fig. Parameters* of *CalcPTF.xls* file (Fig. 2). Note, that the Brooks-Corey and van Genuchten parameters are set to -1 for PTFs that have missing input soil properties.

Figure 2. Example of parameter computation for 4 soil samples using CalcPTF



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