

1        **Trade-offs between prioritizing road-stream crossing upgrades based on**  
2        **connectivity restoration and erosion risk control**

3        Running title: Prioritizing road-stream crossing for connectivity and erosion control

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18 *Abstract*

19 Prioritizing projects to improve cost-effectiveness has become a common practice in natural  
20 resources management, especially in selecting sites for river restoration work. Previous  
21 studies for prioritizing road-stream crossing upgrade projects either focused on restoring river  
22 connectivity or reducing sedimentation, even though crossings can affect connectivity and  
23 sedimentation simultaneously. In this study, we simulated site selection to maximize the  
24 improvement of connectivity restoration and sedimentation reduction of three prioritization  
25 schemes targeting: (1) river connectivity, (2) erosion risk, or (3) both objectives concurrently,  
26 and compared the results. Furthermore, we examined the relationships between the  
27 cost-effectiveness of prioritizations and watershed characteristics. We found significant  
28 differences among the effectiveness of prioritization objectives; thus, trade-offs should be  
29 taken into consideration when prioritizing crossings. The incorporation of spatial  
30 interdependency among crossings and weighting objectives could significantly change the  
31 cost-effectiveness. We also found that splitting the budget and using a portion to individually  
32 prioritize each objective could be more cost-effective than using the whole budget to achieve  
33 concurrent objectives. Watershed characteristics like size, connectivity- and  
34 sedimentation-related factors could be used to help identify effective management for both  
35 connectivity restoration and sedimentation control.

36

37 **Keywords:** decision support tools, connectivity, sedimentation, barrier removal,  
38 prioritization, road-stream crossing, river restoration, watershed management

## 39 **Introduction**

40 As one of the major features on human-modified landscapes, roads provide connections that  
41 improve the development of remote areas and the quality of human well-being (Forman et al.,  
42 2003). However, roads can negatively affect neighboring ecosystems by interrupting  
43 biological and hydrological connections (Forman & Alexander, 1998; Raiter, Possingham,  
44 Prober, & Hobbs, 2014). For example, road-stream crossings may hinder animal migration,  
45 alter hydrological characteristics and sedimentation processes, and degrade habitat quality in  
46 river ecosystems (Forman et al., 2003; Januchowski-Hartley et al., 2013). Therefore, the  
47 removal or upgrade of road-stream crossings to mitigate the negative effects of roads has  
48 become a key issue in river restoration and watershed management (McKay et al., 2016;  
49 Warrington et al., 2017).

50 Prioritization methods can help decision makers allocate resources for restoring watersheds  
51 by identifying a set of crossings that, once restored, results in the greatest benefit to the  
52 decision maker's objective(s) for a given budget (Beechie, Pess, Roni, & Giannico, 2008;  
53 McKay et al., 2016). Scoring-and-ranking methods have been widely applied to prioritize  
54 crossings based on the expected improvement each removal or upgrade project could achieve  
55 for either river connectivity restoration (Taylor, Love, Grey, & Knoche, 2002) or  
56 sedimentation control (Witmer et al., 2009). These methods can incorporate multiple  
57 objectives, are easily understood by managers and stakeholders, and are transparent (i.e.,

58 explicitly list all relevant variables, weightings, and how to calculate scores and rank  
59 priorities). The spatial interdependence among crossings is a critical consideration for  
60 connectivity restoration (Kemp & O’Hanley, 2010). For example, the removal/construction  
61 of one road-stream crossing could change the cumulative passability of all upstream crossings  
62 in the same river network. However, most scoring-and-ranking methods cannot account for  
63 spatial interdependence, and those that attempt to do so (e.g., Martin & Apse, 2013; Nunn &  
64 Cowx, 2012) have not been evaluated for performance, compared to other prioritization  
65 methods. In contrast, optimization approaches incorporate the spatial interdependence among  
66 barriers (King & O’Hanley, 2016), and can help reveal how management scales influence the  
67 cost-effectiveness of connectivity restoration projects (Milt et al., 2017; Neeson et al., 2015).  
68 Decision support tools have been developed to reduce the requirements for mathematical and  
69 programming expertise for applying optimization models to prioritize dams and crossings for  
70 connectivity restoration (e.g., OptiPass, O’Hanley, 2015; Fishwerks, Moody et al., 2017).

71 Previous research has focused on either (1) restoring river connectivity (e.g.,  
72 scoring-and-ranking: Taylor et al., 2002; optimization: Neeson et al., 2015) or (2) reducing  
73 sedimentation (e.g., scoring-and-ranking: Witmer et al., 2009; optimization: Madej,  
74 Eschenbach, Diaz, Teasley, & Baker, 2006), but road-stream crossings can affect both factors  
75 simultaneously. From reviewing publications on the Web of Science database with keywords  
76 “road-stream crossing” and “prioritize” or “restoration”, we observed a recent increase of

77 studies in peer-reviewed journals focusing on connectivity since 2009 while more  
78 publications addressed sedimentation prior to 2009 (Appendix Fig. 1 and Table 1). The  
79 separation of connectivity restoration and sedimentation control was also observed in  
80 government protocols for prioritizing crossings in the United States (e.g., connectivity:  
81 Clarkin et al., 2003; Hotchkiss & Frei, 2007; Stream Simulation Working Group, 2008;  
82 sedimentation: Nonpoint Source Approved and Pending Watershed Plans, Michigan, USA)  
83 depending on the primary goal of the management plan. Nevertheless, some protocols  
84 prioritize crossings by combining both connectivity and erosion condition with  
85 scoring-and-ranking methods (joint method: Great Lakes Road Stream Crossing Inventory  
86 Instructions 2011 in North America; Reducing the Impact of Road Crossings on Aquatic  
87 Habitat in Coastal Waterways NSW 2005 in Australia). Although the effects of crossings on  
88 river connectivity and sedimentation are recognized in watershed restoration plans in Europe  
89 (Lindström-Jönsson, Christoffersson, Hallgren, & Årlebrandt, 2014) and Australia  
90 (Rutherford, Jerie, & Marsh, 2000), studies and prioritization methods have largely been  
91 developed and conducted in North America (Appendix Table 1). Therefore, we see value in  
92 better connecting the problem, both connectivity loss and sedimentation caused by road  
93 crossings, and the solution by evaluating the performance and unveiling the trade-offs among  
94 different prioritization methods.

95 The removal/upgrade of a road-stream crossing may both improve river connectivity and  
96 reduce sedimentation in a watershed regardless of the prioritization objectives or methods.  
97 However, there is a lack of understanding regarding how focusing on one objective (e.g.,  
98 sedimentation control) influences the accomplishment of a second objective (e.g.,  
99 connectivity restoration). Furthermore, the effectiveness of joint methods on both objectives  
100 has not been evaluated.

101 Our primary goal was to examine the trade-offs between road-stream crossing upgrade  
102 prioritizations with different objectives. Specifically, we examined predicted benefits to  
103 connectivity restoration and sedimentation reduction after upgrading crossings prioritized by  
104 (1) their effects on river connectivity, (2) erosion risk, or (3) both connectivity and erosion  
105 risk (joint method) given the same budget. Two types of joint method were used to assess the  
106 influence of incorporating effects of downstream crossings (i.e., spatial interdependence) and  
107 changing objective weights. We further examined the relationship between prioritization  
108 efficiency and watershed characteristics including size and features related to connectivity or  
109 erosion conditions. We hypothesized that the joint method would produce a landscape benefit  
110 for both connectivity and sedimentation control somewhere between the predicted benefit of  
111 either single objective. In addition, incorporating the spatial interdependence among  
112 crossings might improve the efficiency of prioritizations, especially for connectivity  
113 restoration. We also expected higher efficiency of connectivity restoration or sedimentation



114 reduction when more weights are assigned to either objective. Lastly, while prioritization  
115 plans for large watersheds might have higher cost-effectiveness than for small watersheds  
116 (Milt et al., 2017; Neeson et al., 2015), watershed characteristics such as the number of dams  
117 or erosion condition could also influence the outcome of prioritization plans regardless of  
118 objectives used.

119 Watersheds around Lake Michigan were used as a case study because improving lake-stream  
120 connectivity and reducing sedimentation into waterways are critical goals in watershed  
121 management plans throughout the Laurentian Great Lakes region (Neeson et al., 2015;  
122 Seilheimer, Zimmerman, Stueve, & Perry, 2013). These watersheds also support valuable  
123 forestry and agricultural activities, but have been experiencing increased urbanization, a shift  
124 which can diminish tributary water quality by increasing sediment and nutrient loading  
125 (Seilheimer et al., 2013).

126

## 127 **Material and methods**

### 128 *Lake Michigan case study and data acquisition*

129 Lake Michigan, the second largest lake among the five Laurentian Great Lakes, has a  
130 drainage area of approximately 44,922 square miles, composed of 32% agriculture, 29%  
131 forest, 20% wetlands, and 7% urban land cover (Christiansen, Walker, & Hunt, 2014). The

132 streams around Lake Michigan provide critical spawning habitats for over 40 ecologically  
133 and economically important migratory fish species in the lake, such as Walleye (*Sander*  
134 *vitreus*), Lake Sturgeon (*Acipenser fulvescens*), Northern Pike (*Esox lucius*), and Salmonids  
135 (Salmonidae) (Moody et al., 2017). However, dams and road-stream crossings have reduced  
136 the amount of accessible upstream habitats for fish and other aquatic organisms (Neeson et al.,  
137 2015).

138 We acquired road-stream crossing and sedimentation data from two publicly available  
139 decision support tools for this region. The location, upgrade cost, fish passability, size of  
140 upstream habitat above barriers (road-stream crossing, dam, and waterfall), and watershed  
141 boundaries were downloaded from the Fishwerks website (<https://greatlakesconnectivity.org/>).  
142 Although the upgrade costs in Fishwerks are calculated primarily for restoring connectivity,  
143 the data also include some estimates relevant to road-stream crossing upgrade projects for  
144 sedimentation control, such as the cost for road surfacing, excavating, and upgrading culverts  
145 to bridges (Neeson et al., 2015). Estimated annual erosion data were downloaded from the  
146 High Impact Targeting website (<http://www.iwr.msu.edu/hit2/>), in which the estimates are  
147 calculated by RUSLE (Revised Universal Soil Loss Equation, Renard, Foster, Weesies,  
148 McCool, & Yoder, 1996) to produce 30-meter resolution raster data (tons/900 m<sup>2</sup>/year). We  
149 extracted erosion estimates at the location of crossings from raster layers to represent the  
150 relative erosion scores at sites with QGIS 3.0.0 (QGIS Development Team, 2018).

151

152 *Road-stream crossing prioritization*

153 Four methods were used to compare the effectiveness of prioritizations (Table 1), in which  
154 integer linear programming (ILP) was used to prioritize crossings and the  
155 scoring-and-ranking method was used to combine two objectives for the joint method. First,  
156 we prioritized road-stream crossings to maximize the cumulative accessible habitat for fishes  
157 moving from Lake Michigan ("connectivity prioritization" hereafter). Cumulative passability  
158 was calculated as the product of the passability rating (between 0: impassable and 1: fully  
159 passable) of a particular barrier (e.g., dam, crossing, or waterfall) and all downstream barriers.  
160 Then, the length of river segment (i.e., habitat) above this barrier was multiplied by the  
161 cumulative passability to produce a value describing the cumulative accessible habitat. The  
162 Fishwerks tool identifies passability ratings for fish with strong, moderate, and weak  
163 swimming ability (Moody et al., 2017). Because our primary intent is not to address the  
164 influence of fish with different swimming abilities, the passability ratings for moderate  
165 swimmers were chosen here to represent a general scenario. We used OptiPass v. 1.1  
166 (O'Hanley, 2015) to select a set of crossings that maximized the summed cumulative  
167 accessible habitat value for a given budget.

168 Our second method was to minimize sedimentation from road-stream crossings. Road-stream  
169 crossings were selected based on their erosion scores, assuming that crossings with greater

170 erosion scores contribute more sediment to tributaries, and thus should be a high priority for  
171 upgrade ("erosion prioritization" hereafter).

172 Finally, scoring-and-ranking methods were used to represent two types of joint methods that  
173 considered both connectivity and erosion status. The first type of joint method was derived  
174 from protocols in North America and Australia (joint method, as in Great Lakes Road Stream  
175 Crossing Inventory Instructions 2011 and Reducing the Impact of Road Crossings on Aquatic  
176 Habitat in Coastal Waterways NSW 2005), in which only the passability of individual  
177 crossings was considered. For this method ("joint prioritization" hereafter), all erosion scores  
178 and passability ratings of crossings across the Lake Michigan basin were standardized to  
179 percent scales between 100 (priority value: greatest value of erosion and lowest value of  
180 passability) and 0 (least priority value: lowest value of erosion and greatest value of  
181 passability). Subsequently, we weighted both standardized values by 0.5 and summed them  
182 together for every crossing to produce a final rank. The second type of joint method ("joint D  
183 prioritization" hereafter) was derived from the prioritization used in the United Kingdom  
184 (Nunn & Cowx, 2012), which considers the effect of downstream crossings. In this  
185 comparison, the crossing that produces the greatest improvement in cumulative passability  
186 after upgrade was the highest priority (O'Hanley, 2015). The standardized percent scale of  
187 cumulative passability improvement was summed with the standardized erosion score for  
188 every crossing. Three weighting systems were applied on joint D prioritization: 0.25 on

189 erosion score and 0.75 on cumulative passability (“joint D S25” hereafter), 0.5 on both (“joint  
190 D S50” hereafter), and 0.75 on erosion score and 0.25 on cumulative passability (“joint D  
191 S75” hereafter). The prioritizr package (Hanson et al., 2017) in R (R Core Team, 2017) using  
192 Gurobi solver (Gurobi Optimization, Inc., 2016) was used to select sets of road-stream  
193 crossings that produced the greatest total erosion scores (for erosion prioritization) or  
194 combined rank value (for joint and joint D prioritization) for a given budget.

195 We performed all prioritizations (connectivity, erosion, joint, joint D S25, joint D S50, and  
196 joint D S75) across the entire Lake Michigan basin to examine the trade-offs among  
197 objectives, prioritization methods, and objective weights. Subsequently, we conducted  
198 prioritizations for individual watersheds within the basin to explore the relationship between  
199 watershed characteristics and cost-effectiveness. Based on data downloaded from Fishwerks,  
200 watersheds with fewer than 20 crossing records ( $n = 328$ ), or those with an impassable dam  
201 near the river mouth (e.g., Manistique and Menominee watersheds;  $n = 18$ ) were excluded  
202 from individual analysis, because we focused specifically on connectivity for lake-stream  
203 migratory fish. Only road-stream crossings could be selected for prioritization, but the effect  
204 of dams was included when calculating watershed connectivity. For example, although  
205 upgrading crossings upstream of impassable dams might reduce overall sedimentation, it  
206 could not improve the connectivity between upstream tributaries and Lake Michigan. Overall,

207 44 watersheds were analyzed individually, with numbers of barriers in a watershed ranging  
208 from 22 – 4463 (Appendix Fig. 2).

209 The effectiveness was defined as the predicted improvement in connectivity (i.e., the increase  
210 of accessibility-weighted habitat) and sedimentation reduction (i.e., the decrease of total  
211 erosion scores) if the suite of selected crossings were to be upgraded. We assumed that the  
212 selected crossings would become fully passable for aquatic species and reduce the erosion  
213 value to 0. First, we prioritized road-stream crossings throughout the entire Lake Michigan  
214 basin, given a range of budgets between 1-100 million US dollars (USD) to compare the  
215 cost-effectiveness (i.e., effectiveness per 1 million USD) among prioritizations with different  
216 methods (Table 1). For comparison purposes, we split the budget into two parts and allocated  
217 them sequentially to connectivity and erosion prioritizations (i.e., 0:10, 1:9, 2:8, 3:7, ..., 9:1,  
218 10:0) to produce a type of Pareto front curve on which both objectives were optimized. We  
219 compared the performances of joint and joint D prioritizations with different weightings with  
220 the budget splitting curve given a 100 million USD budget.

221 Second, we calculated the effectiveness of a 1 million USD budget for 44 individual  
222 watersheds by examining the relationship between cost and four different watershed  
223 characteristics: (1) planning scale (watershed size: the number of barriers in a watershed), (2)  
224 the proportion of impassable dams among all barriers, (3) average erosion scores, and (4)  
225 maximum erosion scores. We only considered the effect of dams because one waterfall was

226 found among 20,249 barriers in the 44 selected watersheds. The correlation between  
227 cost-effectiveness and each watershed characteristic was calculated with Kendall tests  
228 because most data were not normally distributed. Subsequently, we separated the 44  
229 watersheds into groups based on the first and third quartile of values for each characteristic.  
230 Eight groups were analyzed, which included watersheds with a high ( $>300$ ,  $n = 11$ ) or low  
231 ( $<100$ ,  $n = 18$ ) number of barriers, a high ( $> 0.04$ ,  $n = 12$ ) or low ( $< 0.03$ ,  $n = 24$ ) proportion  
232 of impassable dams, high ( $> 0.08$ ,  $n = 13$ ) or low ( $< 0.04$ ,  $n = 17$ ) average erosion scores, and  
233 high ( $> 0.08$ ,  $n = 15$ ) or low ( $< 0.04$ ,  $n = 14$ ) maximum erosion scores. Kruskal-Wallis tests  
234 and pairwise Wilcoxon tests were applied to examine the differences in cost-effectiveness  
235 when prioritizing crossings with different methods in watershed groups. All statistical  
236 analyses were conducted in R 3.4.2 (R Core Team, 2017).

237

## 238 **Results**

### 239 *Trade-offs among prioritizations across the entire lake basin*

240 While larger budgets produced greater connectivity restoration and sedimentation reduction  
241 (Appendix Fig. 3), the cost-effectiveness of connectivity restoration and sedimentation  
242 reduction varied substantially among prioritizations with different methods (Fig. 1).  
243 Prioritizing crossings based on connectivity produced the greatest cost-effectiveness for

244 connectivity restoration, followed by joint D prioritization with greater weight on  
245 connectivity (joint D S25). The joint D S50, joint D S75, joint, and erosion prioritizations  
246 resulted in lower cost-effectiveness for connectivity restoration (Fig. 1a, overall  $p < 0.005$ ).  
247 Similarly, the cost-effectiveness of sedimentation reduction was greatest in erosion  
248 prioritization, followed by joint D and joint prioritizations, then connectivity prioritization  
249 (Fig. 1b, overall  $p < 0.005$ ). Although joint and joint D prioritizations led to increases in  
250 connectivity and sedimentation reduction, these schemes resulted in a greater return on  
251 sedimentation reduction than on connectivity (Figs. 1, 2 and Appendix Fig. 3). Joint D  
252 prioritizations, regardless of weightings, performed significantly better at optimizing both  
253 connectivity and sedimentation control than joint prioritization (Fig. 2). Nevertheless, both  
254 joint methods had lower cost-effectiveness than budget splitting, especially for connectivity  
255 restoration. Because the joint prioritization performed poorly in achieving both connectivity  
256 restoration and sedimentation reduction (Fig. 2), this prioritization was not included in the  
257 following results for individual watersheds.

258

### 259 *Trade-offs among prioritizations for individual watersheds*

260 For 44 selected watersheds, connectivity prioritization yielded significantly greater  
261 cost-effectiveness for connectivity restoration than joint D and erosion prioritizations ( $p <$   
262  $0.005$ ). Whereas no significant difference was observed among three joint D prioritizations



263 with different weightings, the cost-effectiveness of connectivity restoration was significantly  
264 lower ( $p < 0.05$ ) for erosion prioritization than all other prioritizations. In contrast, only  
265 connectivity prioritization displayed significantly lower cost-effectiveness for sedimentation  
266 reduction than all other prioritizations ( $p < 0.005$ ), whereas no significant difference was  
267 found among erosion and three joint D prioritizations.

268

269 *The relationship between watershed characteristics and cost-effectiveness*

270 Planning scale (watershed size)

271 The total number of barriers in a watershed was a significant factor influencing  
272 cost-effectiveness in most cases (Appendix Table 2). The cost-effectiveness of connectivity  
273 restoration was positively correlated with the number of barriers ( $r = 0.31$ ) for connectivity  
274 prioritization but negatively correlated for erosion prioritization ( $r = -0.35$ ). The  
275 cost-effectiveness of sedimentation reduction showed positive correlations ( $r = 0.63$  for  
276 erosion, 0.58 for joint D) with the total number of barriers in the watershed.

277 In watersheds with large numbers of barriers, connectivity prioritization produced the greatest  
278 cost-effectiveness in connectivity restoration but the lowest cost-effectiveness in  
279 sedimentation reduction (Figs. 3a and 3c). Joint D prioritizations yielded greater  
280 cost-effectiveness in connectivity restoration than erosion prioritization in watersheds with

281 large numbers of barriers (Fig. 3a) but not in watersheds with fewer barriers (Fig. 3c). The  
282 differences in the cost-effectiveness among prioritizations became smaller (Fig. 3b) or even  
283 insignificant (Fig. 3d) for watersheds with fewer barriers.

284

285 Proportion of impassable dams

286 No significant relationship was found between the proportion of impassable dams and  
287 connectivity prioritization (Appendix Table 2). The proportion of impassable dams was  
288 negatively associated with the cost-effectiveness of connectivity restoration ( $r = -0.37$  and  
289  $-0.24$ ) and positively associated with the cost-effectiveness of sedimentation reduction ( $r =$   
290  $0.38$  and  $0.36$ ) under erosion and joint D prioritization. Connectivity prioritization produced  
291 the greatest cost-effectiveness in connectivity restoration, followed by joint D S25, regardless  
292 of the proportion of impassable dams (Figs. 4a and 4b). For sedimentation reduction, lower  
293 cost-effectiveness was only observed for connectivity prioritization in watersheds with a high  
294 proportion of impassable dams (Figs. 4c and 4d).

295

296 Average erosion scores

297 Greater cost-effectiveness of sedimentation reduction was recorded in watersheds with  
298 greater average erosion scores regardless of prioritization methods (Appendix Table 2). In

299 contrast, the cost-effectiveness of connectivity restoration was negatively associated with the  
300 average erosion scores across methods. The differences in the cost-effectiveness of  
301 connectivity restoration among prioritizations increased in watersheds with lower average  
302 erosion scores (Figs. 5a, 5b), however, the differences in sedimentation reduction among  
303 prioritizations decreased (Figs. 5c, 5d). No significant difference was found among joint D  
304 and erosion prioritizations for sedimentation reduction across erosion scores (Figs. 5c and  
305 5d).

306

307 Maximum erosion scores

308 Strong and positive correlations were found between the maximum erosion score in  
309 watersheds and the cost-effectiveness of sedimentation reduction, especially under erosion  
310 and joint D prioritizations ( $r = 0.82, 0.79$ , Appendix Table 2). However, negative  
311 relationships were found between the erosion score and the cost-effectiveness of connectivity  
312 restoration, except for connectivity prioritization (Appendix Table 2). Connectivity  
313 prioritization yielded the highest cost-effectiveness in connectivity restoration and the lowest  
314 cost-effectiveness in sedimentation reduction regardless of erosion scores (Fig. 6). Using  
315 joint D prioritizations to improve the cost-effectiveness was more significant for connectivity  
316 restoration (Figs. 6a, 6b) than sedimentation reduction (Figs. 6c, 6d). The differences among

317 prioritization methods were lower in watersheds with lower maximum erosion scores (Figs.  
318 6b, 6d) compared to watersheds with higher scores (Figs. 6a, 6c).

319

## 320 **Discussion**

321 Significant differences were found among prioritization methods based on connectivity,  
322 sedimentation reduction, or both objectives across a range of budgets and watershed  
323 characteristics in the Lake Michigan basin. As expected, simulated prioritizations targeting  
324 river connectivity and erosion risk produced the greatest effectiveness for connectivity  
325 restoration and sedimentation reduction, respectively. Although the removal/upgrade of one  
326 road-stream crossing may improve the connectivity and mitigate the sedimentation at the  
327 restored site, the benefit to the non-target objective was relatively marginal, especially at a  
328 large scale (i.e., Lake Michigan basin and watersheds with > 300 barriers).

### 329 *Differences in Restoring Connectivity and Reducing Sedimentation*

330 The fundamental differences among prioritization outcomes might result from the difference  
331 between (1) the spatial distribution of “sedimentation reduction-” and “connectivity-”  
332 important crossings, and (2) the structure of optimization algorithms. The location and  
333 passability of crossings are key factors influencing watershed connectivity (Kemp &  
334 O’Hanley, 2010). Therefore, crossings that were prioritized for connectivity restoration for

335 migratory fish were generally located lower in the watershed. In contrast, the amount of  
336 sedimentation at a crossing depends on local rainfall, soil type, landscape characteristics  
337 (slope length, steepness, and land-cover), and erosion control practice (Renard et al., 1996),  
338 and thus, these high priority crossings have a more scattered distribution. These differences  
339 might be more evident at large scales because larger scales contain more spatial heterogeneity  
340 among crossings, leading to fewer crossings simultaneously being a priority for both  
341 objectives. Our results indicated that incorporating the effect of downstream crossings and  
342 weightings into scoring-and-ranking could significantly improve cost-effectiveness for both  
343 objectives. Although this method might reduce the trade-offs between restoring connectivity  
344 and reducing sedimentation, the improvement that occurred in connectivity was usually more  
345 limited than for sedimentation reduction.

346 The ability to account for dynamic connectivity during the prioritization process is the key to  
347 finding the optimal solution for connectivity restoration (O’Hanley, 2015). The difference  
348 between the prioritizr package and OptiPass is that the algorithm in prioritizr selects  
349 crossings only based on the fixed rank we produced before selection, whereas the algorithm  
350 in OptiPass recalculates the cumulative passability for all crossings after each crossing was  
351 selected. Failing to consider this dynamic could result in less effective outcomes even if  
352 connectivity-related factors, such as passability or the effect of downstream barriers, are  
353 incorporated using scoring-and-ranking methods. However, existing optimization models that

354 incorporate dynamics among barriers generally lack the functionality of incorporating  
355 non-connectivity related targets into prioritization. Developing optimization methods that can  
356 prioritize crossings for connectivity and non-connectivity related targets would benefit  
357 watershed restoration planners.

### 358 *Management implications*

359 Our simulated prioritization in watersheds around Lake Michigan provided some  
360 management guidelines. First, when planning road-stream crossing prioritization in small  
361 watersheds or watersheds with few barriers, prioritizing crossings to maximize river  
362 connectivity might be preferable to the erosion or joint prioritization methods because it  
363 could provide the best outcome in connectivity restoration and a fair outcome in  
364 sedimentation reduction. This is because (1) the proportions of road-stream crossings that  
365 were considered as priorities for both connectivity and sedimentation control among all  
366 crossings were greater in watersheds with fewer barriers, and (2) the simulated connectivity  
367 restoration was very sensitive to the spatial interdependence among crossings while the  
368 effectiveness of sedimentation reduction was not. Second, impassable dams in the watershed  
369 should be taken into consideration even when those dams will not be prioritized for removal.  
370 Greater connectivity could be achieved regardless of prioritization objectives in watersheds  
371 with fewer impassable dams. Third, the prioritization method used in this study for  
372 sedimentation control was more sensitive to watershed characteristics than the method for

373 connectivity restoration. For example, while prioritization plans for large watersheds  
374 generally resulted in higher cost-effectiveness of connectivity restoration than prioritization  
375 plans for small watersheds ( $r = 0.31$ ), the positive correlation between watershed size and the  
376 cost-effectiveness of sediment reduction was even stronger ( $r = 0.63$ ) than the correlation for  
377 connectivity restoration. Lastly, although joint D prioritization performed better than joint  
378 prioritization in achieving both objectives, optimizing each connectivity and non-connectivity  
379 related objective separately could produce a greater outcome than combining different  
380 objectives into a single objective, as in scoring-and-ranking methods. We also acknowledge  
381 that while the joint methods perform relatively poorly at improving connectivity for  
382 migratory fish, the removal of mid- and upstream barriers with low passability might benefit  
383 resident fish species (King, O’Hanley, Newbold, Kemp, & Diebel, 2017).

384 In addition to objectives used in optimization models, socio-economic and political factors  
385 often influence the prioritization of watershed management actions and reduce the  
386 applicability of “optimized” solutions (McKay et al., 2016; Patterson, Smith, & Bellamy,  
387 2013). Resource availability, construction logistics, and landowner’s permission are all  
388 critical factors that influence where and when to implement management actions in the Lake  
389 Michigan basin (Shook, D. [Grand Traverse Band of Ottawa and Chippewa Indians] and  
390 Beyer, A. [Conservation Resource Alliance], personal communication) and previous studies  
391 (Fleeger & Becker, 2008; Koontz & Newig, 2014; Patterson et al., 2013). These factors are

392 often highly contextual and difficult to quantify or integrate into prioritization exercises  
393 (Langford & Shaw, 2014; McKay et al., 2016). Furthermore, decision makers and  
394 stakeholders might hold competing objectives. Applying a structured decision making  
395 framework is suggested to help address socio-economic issues and stakeholders' interests  
396 before running optimization models (Gregory et al., 2012; ; Lin, Robinson, Jones, & Walter,  
397 2019).

#### 398 *Model assumptions and limitations*

399 We acknowledge that local inventories of road-stream crossings are available in some  
400 watersheds (e.g., <http://www.northernmichiganstreams.org/rsxinfo.asp>) and these inventories  
401 likely provide greater accuracy compared to the regional database that estimated local  
402 parameters from remote sensing data with lower resolution. However, the differences in  
403 collected information (e.g., the cost, upstream habitat, or passability data are not always  
404 available in local inventories), field survey methods and protocols among inventories and  
405 watersheds make it difficult to combine local databases for basin-wide analysis. Furthermore,  
406 while most road-stream inventories only record the erosion estimate directly at each crossing,  
407 the erosion risk in the surrounding area might also provide important information for  
408 prioritizing upgrades considering the long-term and large-scale sedimentation input.  
409 Nevertheless, the accuracy and quality of input data could influence the performance of  
410 prioritization models.



411 In addition to connectivity restoration, the spatial interdependency among crossings might  
412 also influence the priority of sedimentation control projects. For example, controlling  
413 sedimentation at upstream crossings may reduce the amount of cumulative sediments at  
414 downstream crossings. Although this downstream effect has not been incorporated in the  
415 current study nor in previous studies (Madej et al., 2006; Witmer et al., 2009), the influence  
416 of sedimentation might be limited in a few nearby downstream crossings, and this effect  
417 declines with distance. Further studies on sediment transportation will be required to improve  
418 the effectiveness of crossing prioritization.

#### 419 *Conclusions*

420 This study quantified the differences in the effectiveness among different prioritizations that  
421 target sedimentation control, river connectivity, and both. While different spatial distributions  
422 of sedimentation- and connectivity-related factors and the structure of optimization  
423 algorithms make it difficult to find a win-win solution, watershed characteristics could be  
424 used to provide a general direction. Watershed and natural resources managers, stakeholders,  
425 and decision makers should express their preferences, optimize objectives that interest them  
426 the most, and explicitly discuss trade-offs among objectives. By evaluating decision support  
427 tools using management relevant objectives, these studies could ultimately improve the  
428 usefulness of these tools (Lin, Robinson, Milt, & Walter, 2019).

429

430 **Data Availability Statement**

431 The data that support the findings of this study are openly available in online databases

432 Fishwerks (<https://greatlakesconnectivity.org/>) and High Impact Targeting

433 (<http://www.iwr.msu.edu/hit2/>).

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556

557 **Data citaion**

558 [dataset] Moody, A. T., Neeson, T. M., Wangen, S., Dischler, J., Diebel, M. W., Milt, A.,  
559 Herbert, M., Khoury, M., Yacobson, E., Doran, P. J., Ferris, M. C., O'Hanley, J. R., &  
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563

564 **Tables**

565 Table 1. Prioritization methods and evaluation metrics for simulated road-stream crossing  
 566 upgrades in Lake Michigan tributaries.

Prioritization Methods	Evaluation Metric
<i>Connectivity prioritization:</i> Maximizing accessibility-weighted habitat for species moving upstream from Lake Michigan	The increase in total accessibility-weighted habitat
<i>Erosion prioritization:</i> Minimizing the total erosion score	The reduction of the total erosion score
<i>Joint prioritization:</i> Minimizing the total erosion score while maximizing the overall passability (with weight 0.5 on both erosion scores and passability)	The reduction of the total erosion score and the increase in total accessibility-weighted habitat
<i>Joint D prioritization:</i> Minimizing the total erosion score while maximizing the overall downstream cumulative passability (with weight 0.25 on erosion scores and 0.75 on cumulative passability: joint D S25; 0.5 on both: joint D S50; 0.75 on erosion scores and 0.25 on cumulative passability: joint D S25)	The reduction of the total erosion score and the increase in total accessibility-weighted habitat

567

568

569 **Figure legends**

570 Fig. 1. Cost-effectiveness (CE) of connectivity restoration (a) and sedimentation reduction (b)  
571 among prioritization methods for the Lake Michigan basin. Significant differences were  
572 found among most groups except between joint D S25 and joint D S50 in panel (a) and  
573 between joint and joint D S50, between joint D S50 and joint D S75, and between joint D  
574 S75 and erosion in panel (b).

575 Fig. 2. The curve of sequential budget splitting for connectivity and erosion prioritization  
576 (circles) and the effectiveness of joint (square) and joint D prioritizations with different  
577 weightings (triangles) given a total 100 USD million budget.

578 Fig. 3. The cost-effectiveness (CE) of connectivity restoration (a, b) and sedimentation  
579 reduction (c, d) among prioritization methods for watersheds with a high ( $> 300$ ;  $n = 11$ ) or  
580 low ( $< 100$ ;  $n = 18$ ) number of barriers. Horizontal lines on the bottom of each plot represent  
581 significant ( $p < 0.05$ , solid) differences between objectives.

582 Fig. 4. The cost-effectiveness (CE) of connectivity restoration (a, b) and sedimentation  
583 reduction (c, d) among prioritization methods for watersheds with a high ( $> 0.04$ ;  $n = 12$ ) or  
584 low ( $< 0.03$ ;  $n = 24$ ) proportion of impassable dams among all barriers. Horizontal lines on  
585 the bottom of each plot represent significant ( $p < 0.05$ , solid) or near significant ( $0.05 < p <$   
586  $0.1$ , dotted) differences between objectives.

587 Fig. 5. The cost-effectiveness (CE) of connectivity restoration (a, b) and sedimentation  
588 reduction (c, d) among prioritization methods for watersheds with high ( $> 0.08$ ;  $n = 13$ ) or  
589 low ( $< 0.04$ ;  $n = 17$ ) average erosion scores. Horizontal lines on the bottom of each plot  
590 represent significant ( $p < 0.05$ , solid) or near significant ( $0.05 < p < 0.1$ , dotted) differences  
591 between objectives.

592 Fig. 6. The cost-effectiveness (CE) of connectivity restoration (a, b) and sedimentation  
593 reduction (c, d) among prioritization methods for watersheds with high ( $> 1.6$ ;  $n = 15$ ) or low  
594 ( $< 0.5$ ;  $n = 14$ ) maximum erosion scores. Horizontal lines on the bottom of each plot  
595 represent significant ( $p < 0.05$ , solid) or near significant ( $0.05 < p < 0.1$ , dotted) differences  
596 between objectives.

597

598 **Trade-offs between prioritizing road-stream crossing upgrades based on**  
 599 **connectivity restoration and erosion risk control**

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605 **Appendix**

606 Table 1. Articles published in peer-reviewed journals on ISI Web of Science between 1996  
 607 (January) and 2018 (June) using keywords “road stream crossing” with “restoration” or  
 608 “prioritize”.

Primary focus	Year	Description
Connectivity	2018	Fitzpatrick KB, Neeson TM. Aligning dam removals and road culvert upgrades boosts conservation return-on-investment. <i>Ecological Modelling</i> . 368: 198-204
	2018	Nathan LR, Smith AA, Welsh AB, Vokoun JC. Are culvert assessment scores an indicator of Brook Trout <i>Salvelinus fontinalis</i> population fragmentation? <i>Ecological Indicators</i> . 84: 208-217
	2017	King S, O’Hanley JR, Newbold LR, Kemp PS, Diebel MW. A toolkit for optimizing fish passage barrier mitigation actions. <i>Journal of Applied Ecology</i> . 54: 599-611
	2017	Moody AT, Neeson TM, Wangen S, Dischler J, Diebel MW, Milt A, Herbert M, Khoury M, Yacobson E, Doran PJ, Ferris MC, O’Hanley JR, McIntyre PB. Pet Project or Best Project? Online Decision Support Tools for Prioritizing Barrier Removals in the Great Lakes and Beyond. <i>Fisheries</i> . 42: 57-65.
	2016	Maitland BM, Poesch M, Anderson AE. Prioritising culvert removals to restore habitat for at-risk salmonids in the boreal forest. <i>Fisheries Management and Ecology</i> . 23: 489-502
	2015	Chelgren ND, Dunham JB. Connectivity and conditional models of access and abundance of species in stream networks. <i>Ecological Applications</i> . 25: 1357-1372
	2015	Diebel MW, Fedora M, Cogswell S, O’Hanley JR. Effects of Road Crossings on

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- 2015 Evans NT, Riley CW, Lamberti GA. Culvert Replacement Enhances Connectivity of Stream Fish Communities in a Michigan Drainage Network. *Transactions of the American Fisheries Society*. 144: 967-976
- 2014 David BO, Tonkin JD, Taipeti KW, Hokianga HT. Learning the ropes: mussel spat ropes improve fish and shrimp passage through culverts. *Journal of Applied Ecology*. 51: 214-223
- 2014 Januchowski-Hartley SR, Diebel M, Doran PJ, McIntyre PB. Predicting road culvert passability for migratory fishes. *Diversity and Distributions*. 20: 1414-1424
- 2014 Mahlum S, Kehler D, Cote D, Wiersma YF, Stanfield L. Assessing the biological relevance of aquatic connectivity to stream fish communities. *Canadian Journal of Fisheries and Aquatic Sciences*. 71: 1852-1863
- 2013 Cooney PB, Kwak TJ. Spatial Extent and Dynamics of Dam Impacts on Tropical Island Freshwater Fish Assemblages. *BioScience*. 63: 176-190
- 2013 McKay SK, Schramski JR, Conyngham JN, Fischenich JC. Assessing upstream fish passage connectivity with network analysis. *Ecological Applications*. 23: 1396-1409
- 2013 Perkin JS, Guido KB, Al-Ta'ani O, Scoglio C. Simulating fish dispersal in stream networks fragmented by multiple road crossings. *Ecological Modelling*. 257: 44-56
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- 2011 Foster HR, Keller TA. Flow in culverts as a potential mechanism of stream fragmentation for native and nonindigenous crayfish species. *Journal of the North American Benthological Society*. 30: 1129-1137
- 2010 Price DM, Quinn T, Barnard RJ. Fish Passage Effectiveness of Recently Constructed Road Crossing Culverts in the Puget Sound Region of Washington State. *North American Journal of Fisheries Management*. 30: 1110-1125
- 2009 Planton P, Marcus WA. Railroads, roads and lateral disconnection in the river landscapes of the continental United States. *Geomorphology*. 112: 212-227
- 2009 Poplar-Jeffers IO, Petty JT, Anderson JT, Kite SJ, Strager MP, Fortney RH. Culvert Replacement and Stream Habitat Restoration: Implications from Brook Trout Management in an Appalachian Watershed, USA. *Restoration Ecology*. 17: 404-413
- 2006 Blakely TJ, Harding JS, McIntosh AR, Winterbourn MJ. Barriers to the recovery of aquatic insect communities in urban streams. *Freshwater Biology*. 51: 1634-1645
- Sedimentation** 2017 Massey W, Biron PM, Choné G. Impacts of river bank stabilization using riprap on

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- 2014 Burdett S, Hulley M, Smith A. Applying the Soil Water Assessment Tool to 5th Canadian Division Support Base Gagetown. *Water Quality Research Journal of Canada*. 49: 372-385
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**Both, but not for  
prioritizing  
crossings**

**Studies on other river rehabilitation projects**

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- 2014 Sterling Sm, Garroway K, Guan Y, Ambrose SM, Home P, Kennedy GW. A new watershed assessment framework for Nova Scotia: A high-level, integrated approach for regions without a dense network of monitoring stations. *Journal of Hydrology*. 519: 2596-2612
- 2013 Nichols RA, Ketcheson GL. A Two-Decade Watershed Approach to Stream Restoration Log Jam Design and Stream Recovery Monitoring: Finney Creek,

Washington. Journal of The American Water Resources Association. 49: 1367-1384

- 2010 Merten EC, Finlay J, Johnson L, Newman R, Stefan H, Vondracek B. Environmental controls of wood entrapment in upper Midwestern streams. Hydrological Processes. 25: 593-602

**Monitoring/assessment studies on restored crossings**

- 2017 Olson JC, Marcarelli AM, Timm AL, Eggert SL, Kolka RK. Evaluating the Effects of Culvert Designs on Ecosystem Processes in Northern Wisconsin Streams. River Research and Applications. 33: 777-787
- 2015 Deboer JA, Holtgren JM, Ogren SA, Snyder EB. Movement and Habitat Use by Mottled Sculpin After Restoration of a Sand-Dominated 1st-Order Stream. American Midland Naturalist. 173: 335-345
- 2015 Ogren SA, Huckins CJ. Culvert replacements: improvement of stream biotic integrity? Restoration Ecology. 23: 821-828
- 2014 Favaro C, Moore JW, Reynolds JD, Beakes MP. Potential loss and rehabilitation of stream longitudinal connectivity: fish populations in urban streams with culverts. Canadian Journal of Fisheries and Aquatic Sciences. 71: 1805-1816

609

610

611

612 Table 2. The correlation between the cost-effectiveness of connectivity restoration or  
613 sedimentation reduction and four types of watershed characteristics.

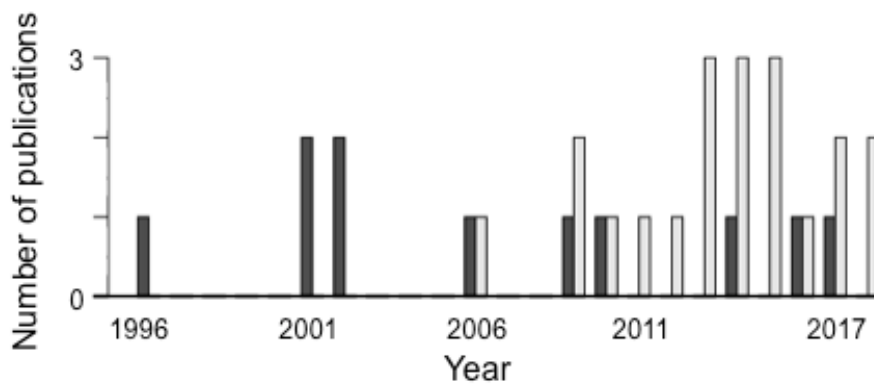
	Prioritization method		
	Connectivity	Joint D	Erosion
<b>Watershed characteristics: planning scale</b>			
<b>Connectivity restoration</b>	r = 0.31*	r = -0.12, p = 0.24	r = -0.35*
<b>Sedimentation reduction</b>	r = 0.024, p = 0.82	r = 0.58*	r = 0.63*
<b>Watershed characteristics: proportion of impassable dams</b>			
<b>Connectivity restoration</b>	r = 0.0091, p = 0.93	r = -0.24*	r = -0.37*
<b>Sedimentation reduction</b>	r = 0.079, p = 0.47	r = 0.36*	r = 0.38*



Watershed characteristics: average erosion scores			
Connectivity restoration	$r = -0.31^*$	$r = -0.35^*$	$r = -0.22^*$
Sedimentation reduction	$r = 0.41^*$	$r = 0.42^*$	$r = 0.38^*$
Watershed characteristics: the maximum of erosion scores			
Connectivity restoration	$r = 0.097, p = 0.35$	$r = -0.23^*$	$r = -0.35^*$
Sedimentation reduction	$r = 0.38^*$	$r = 0.79^*$	$r = 0.82^*$

614 \* represents significant correlation ( $p < 0.05$ )

615



616

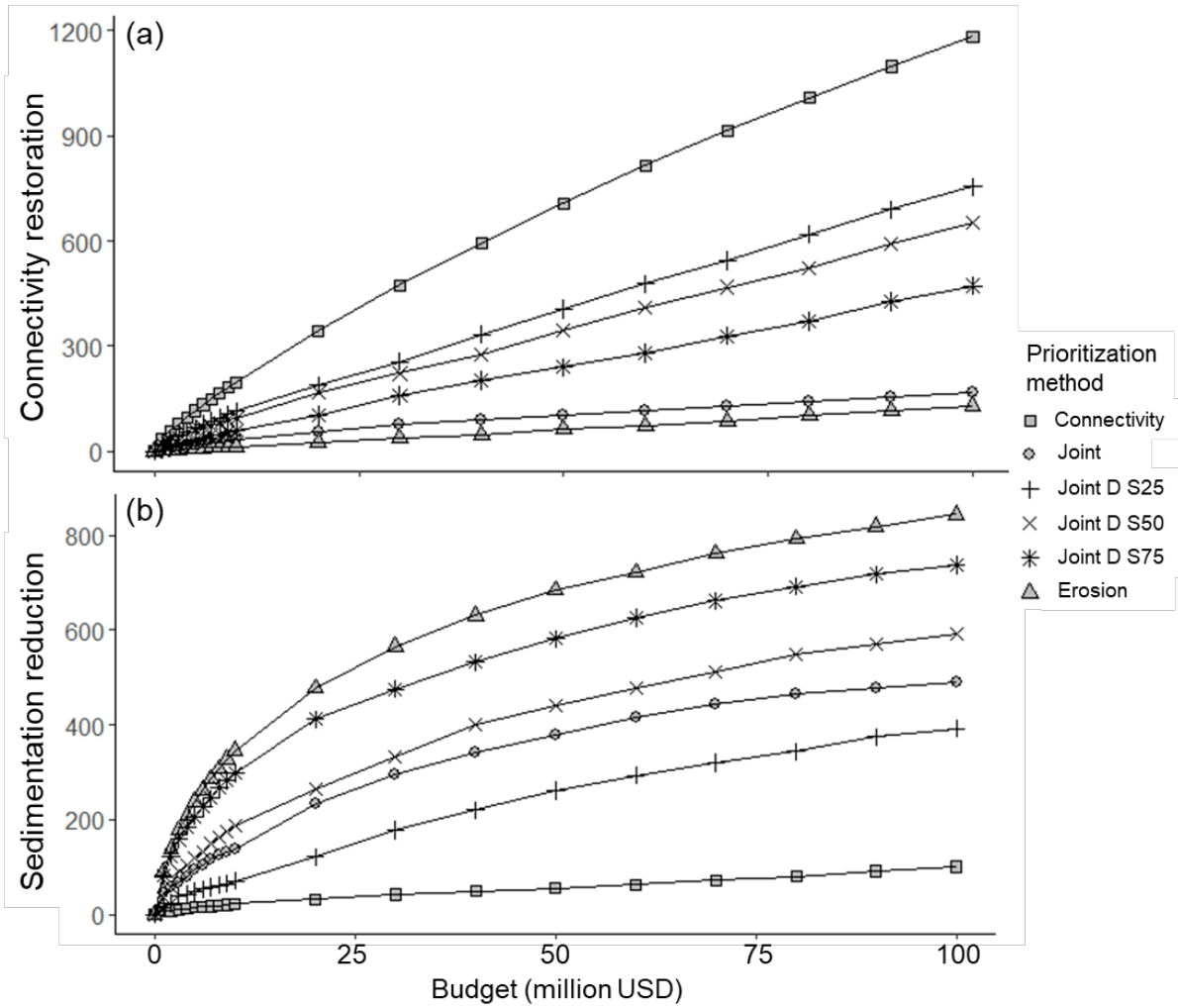
617 Fig. 1. Number of publications in peer-reviewed journals on Web of Science focusing on  
618 sedimentation (black bars) or connectivity (grey bars) for road-stream crossings prioritization  
619 during January 1996 – June 2018.



620

621 Fig. 2. Forty-four watersheds selected (dark grey) for individual analysis of the relationship

622 between the cost-effectiveness of road-stream prioritizations and watershed characteristics.



623

624 Fig. 3. The changes in connectivity restoration (i.e., the increase of accessibility-weighted  
 625 habitat, a) and sedimentation reduction (i.e., the decrease of total erosion scores, b) with  
 626 increasing budget among simulated prioritization methods.

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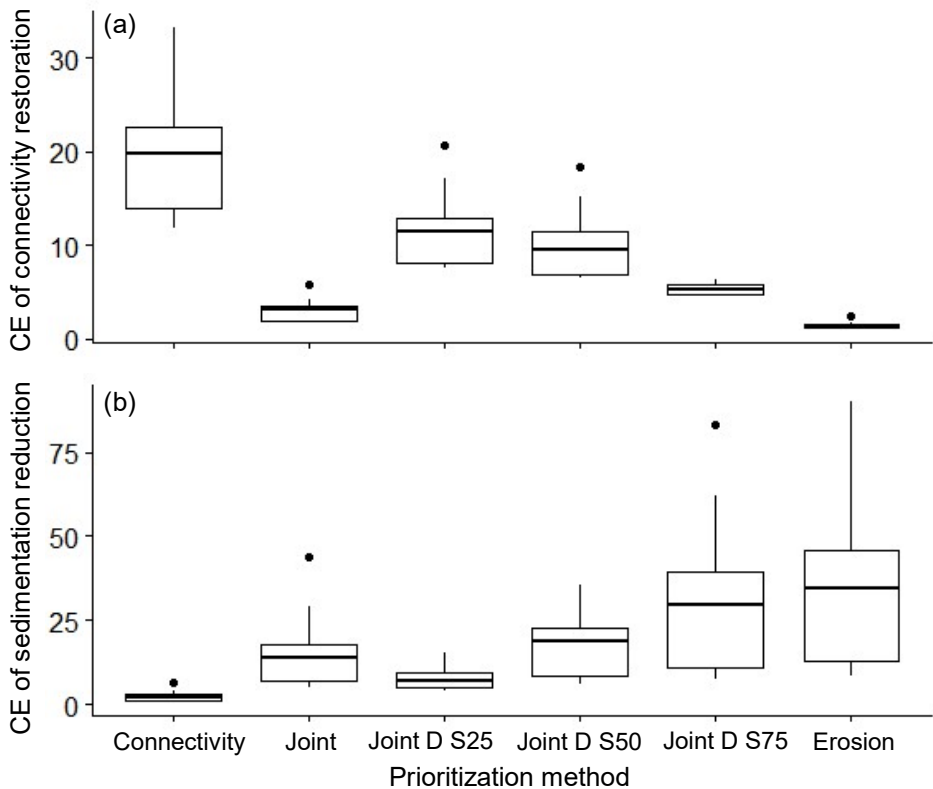


Fig. 1. Cost-effectiveness (CE) of connectivity restoration (a) and sedimentation reduction (b) among prioritization methods for the Lake Michigan basin. Significant differences were found among most groups except between joint D S25 and joint D S50 in panel (a) and between joint and joint D S50, between joint D S50 and joint D S75, and between joint D S75 and erosion in panel (b).

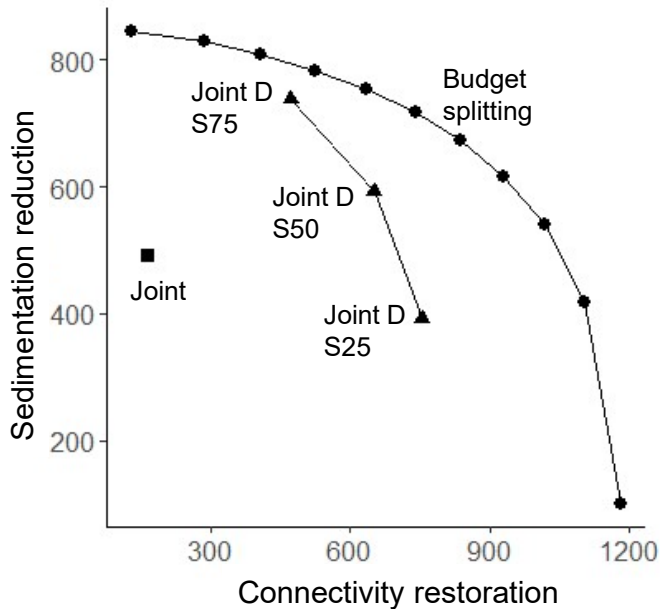


Fig. 2. The curve of sequential budget splitting for connectivity and erosion prioritization (circles) and the effectiveness of joint (square) and joint D prioritizations with different weightings (triangles) given a total 100 USD million budget.

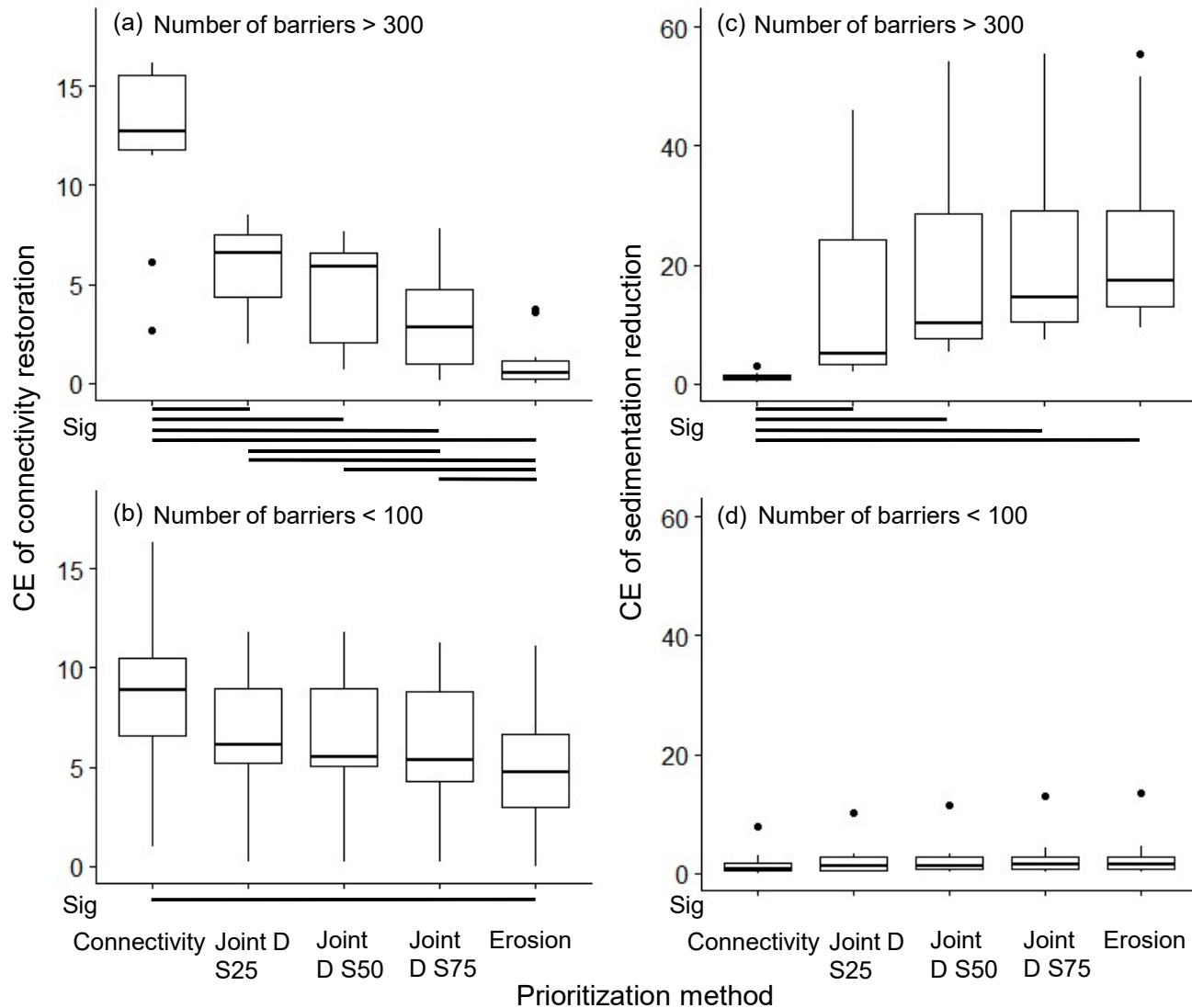


Fig. 3. The cost-effectiveness (CE) of connectivity restoration (a, b) and sedimentation reduction (c, d) among prioritization methods for watersheds with a high ( $> 300$ ;  $n = 11$ ) or low ( $< 100$ ;  $n = 18$ ) number of barriers. Horizontal lines on the bottom of each plot represent significant ( $p < 0.05$ , solid) differences between objectives.

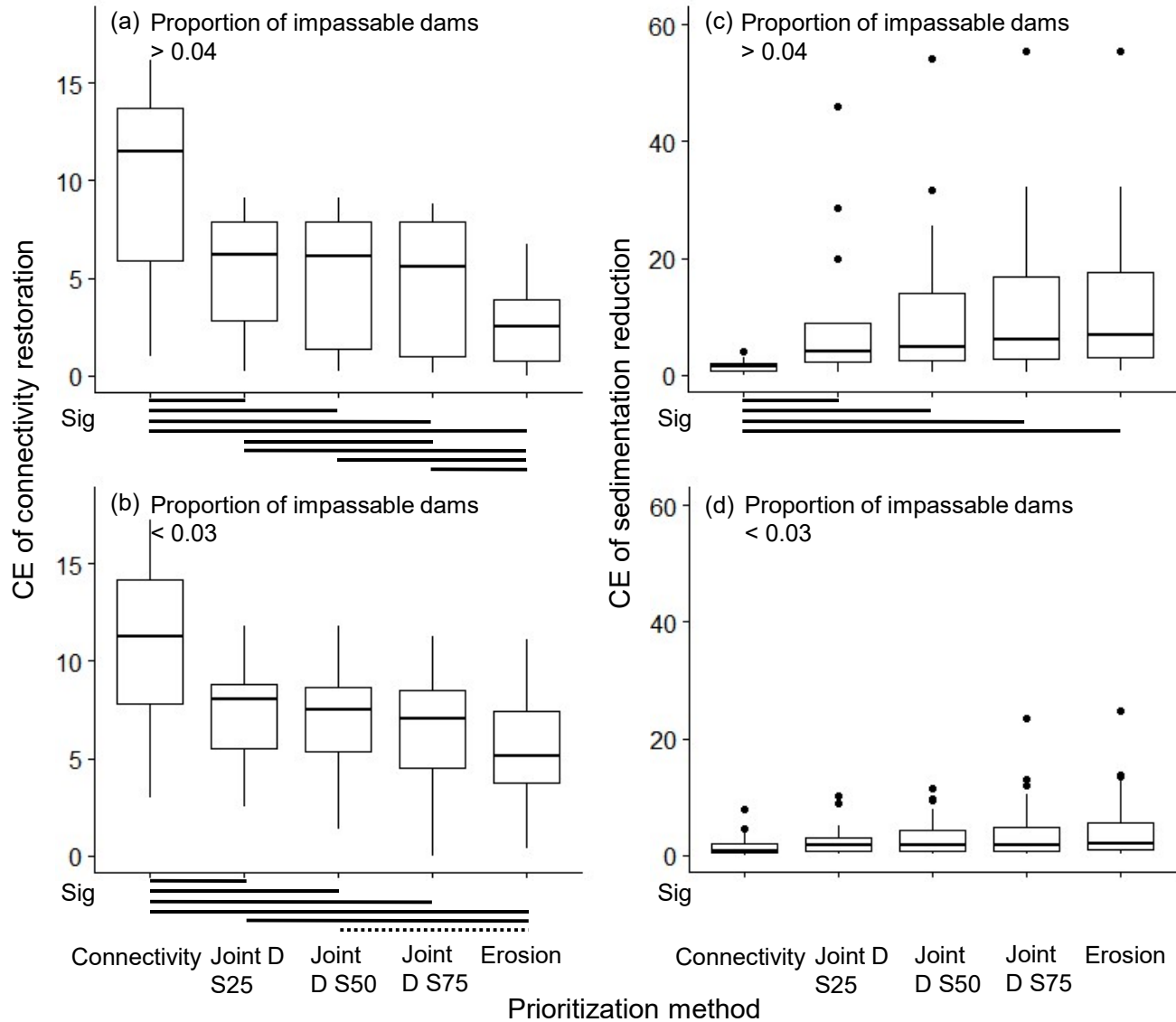


Fig. 4. The cost-effectiveness (CE) of connectivity restoration (a, b) and sedimentation reduction (c, d) among prioritization methods for watersheds with a high ( $> 0.04$ ;  $n = 12$ ) or low ( $< 0.03$ ;  $n = 24$ ) proportion of impassable dams among all barriers. Horizontal lines on the bottom of each plot represent significant ( $p < 0.05$ , solid) or near significant ( $0.05 < p < 0.1$ , dotted) differences between objectives.

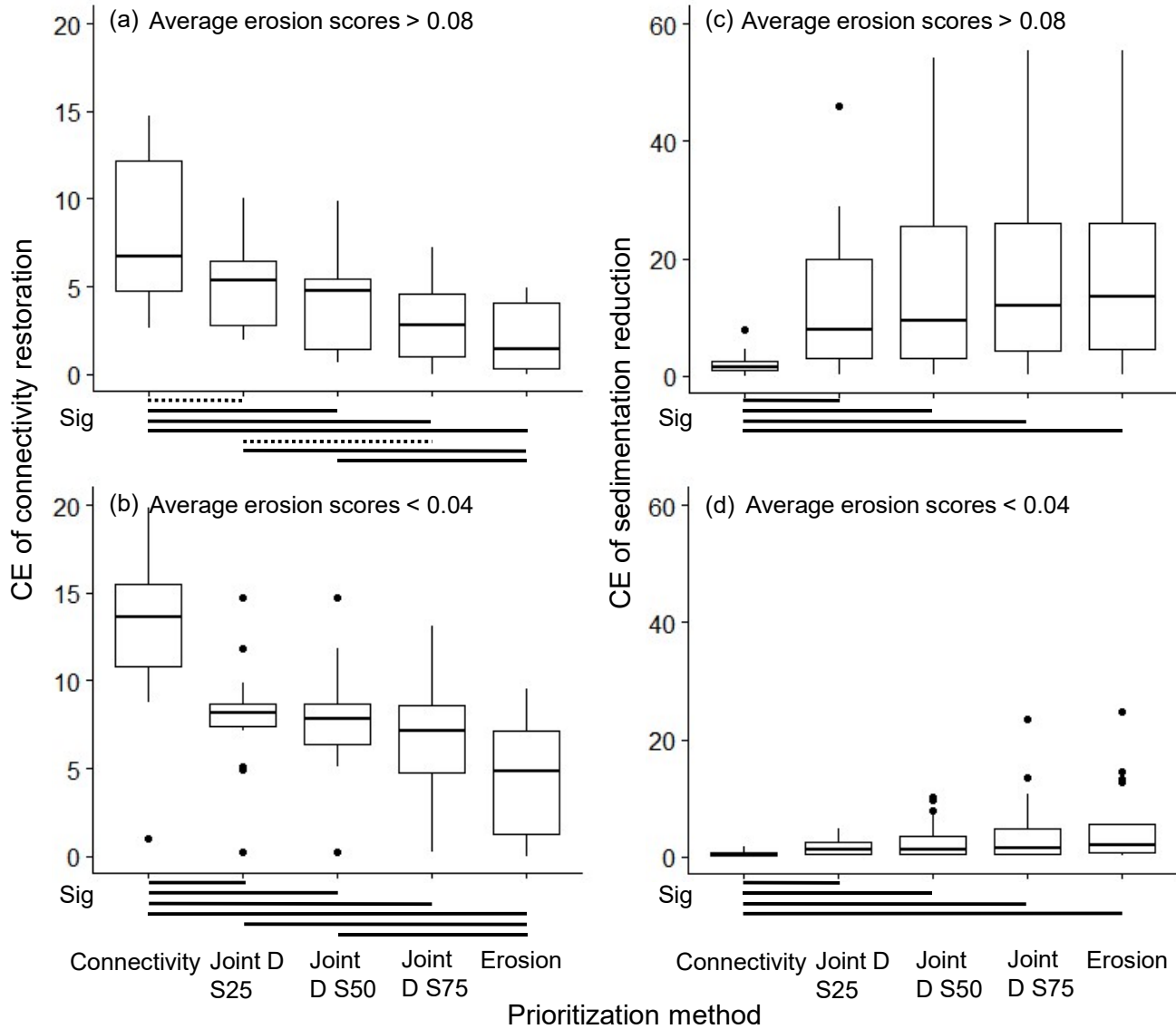


Fig. 5. The cost-effectiveness (CE) of connectivity restoration (a, b) and sedimentation reduction (c, d) among prioritization methods for watersheds with high (> 0.08;  $n = 13$ ) or low (< 0.04;  $n = 17$ ) average erosion scores. Horizontal lines on the bottom of each plot represent significant ( $p < 0.05$ , solid) or near significant ( $0.05 < p < 0.1$ , dotted) differences between objectives.



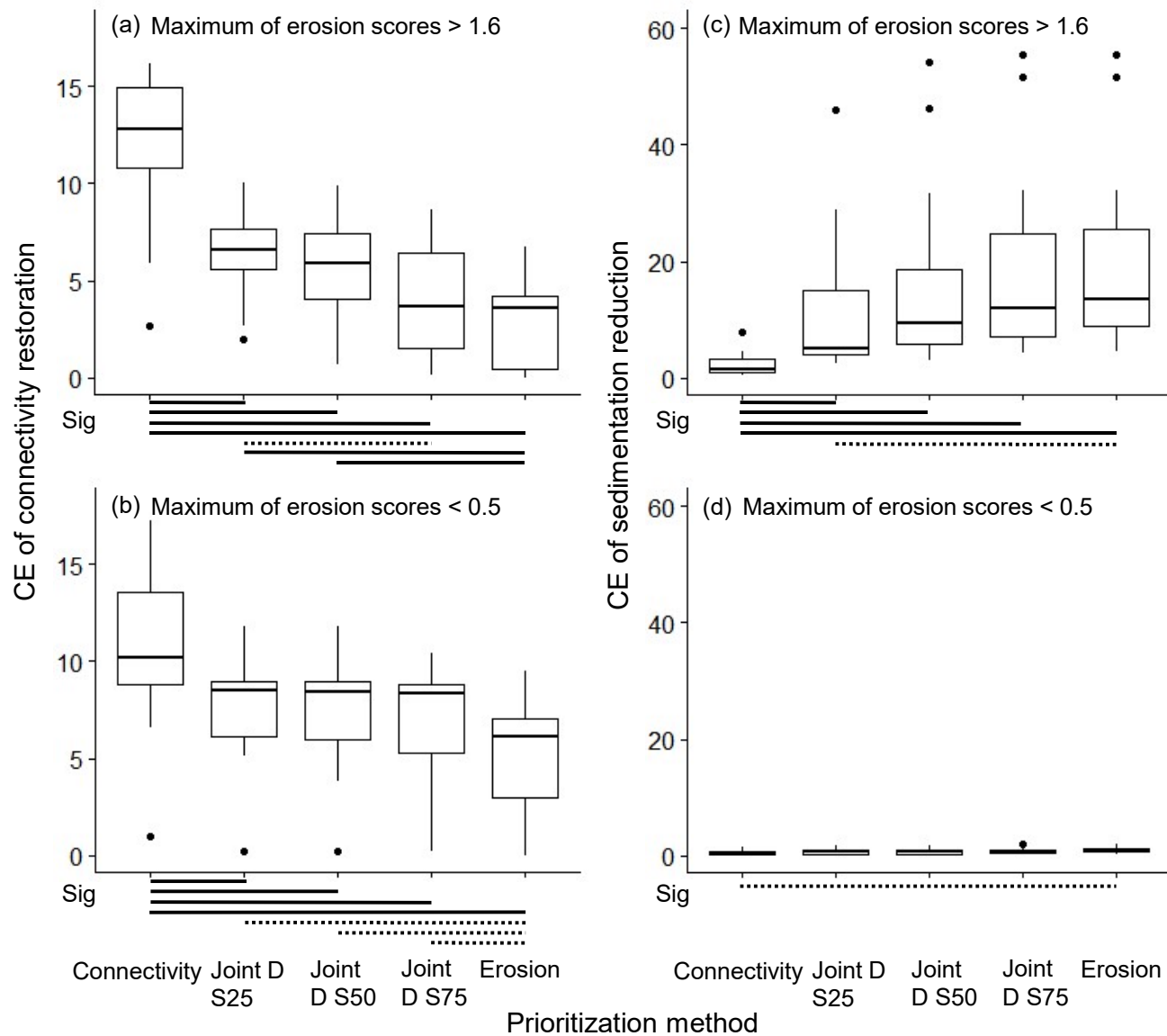


Fig. 6. The cost-effectiveness (CE) of connectivity restoration (a, b) and sedimentation reduction (c, d) among prioritization methods for watersheds with high (> 1.6;  $n = 15$ ) or low (< 0.5;  $n = 14$ ) maximum erosion scores. Horizontal lines on the bottom of each plot represent significant ( $p < 0.05$ , solid) or near significant ( $0.05 < p < 0.1$ , dotted) differences between objectives.